



# Cryptoanalysis on ‘A round-optimal lattice-based blind signature scheme for cloud services’

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## HIGHLIGHTS

- Zhu et al. proposed a blind signature in Future Generation Computer Systems in 2017.
- Zhu et al.'s is extended from Plantard et al.'s signature scheme in PKC 2008.
- Zhu et al.'s scheme either does not provide the blindness or not correctly work.

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## ABSTRACT

In this note, we review the article published by Zhu et al. in Future Generation Computer Systems in 2017. We show that their construction of a blind signature does not hold the correctness requirement or the blindness requirement.

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## 1. Introduction

In [1], a lattice-based blind signature scheme is proposed by Zhu et al. Their blind signature scheme is extended from Plantard et al.'s digital signature scheme [2] based on the Closest Vector Problem  $CVP_{\infty}$ .

### 1.1. Paper organization

In Section 2, we introduce preliminaries, and in Section 3, we briefly describe the blind signature scheme by Zhu et al.'s [1]. In Section 4, we present our cryptoanalysis on the Zhu et al.'s scheme. In Section 5, we discuss the difficulties of building a provably secure blind signature and future work. Finally, in Section 6, we conclude.

## 2. Preliminaries

### 2.1. Blind signature

A blind signature scheme consists of three PPT algorithms,  $(KG_{\epsilon}, SG_{\epsilon}, VF_{\epsilon})$  and involves three entities of the signer  $\mathcal{S}$ , the user

$\mathcal{U}$  and the verifier  $\mathcal{V}$ . The key generation algorithm  $KG_{\epsilon}$  is run by the signer or a trusted authority. The signing algorithm  $SG_{\epsilon}$  is run by the signer  $\mathcal{S}$  and the user  $\mathcal{U}$  interactively. The verification algorithm  $VF_{\epsilon}$  is run by the verifier  $\mathcal{V}$ . The algorithms are defined as follows [1,3].

- $KG_{\epsilon}$  generates secret key  $k_s$  and public key  $k_p$ .
- $SG_{\epsilon}(k_s, m)$  executes an interaction between  $\mathcal{S}$  and  $\mathcal{U}$  where  $\mathcal{S}$  has a secret key  $k_s$  and  $\mathcal{U}$  has a message  $m$ . Finally,  $\mathcal{U}$  obtains and outputs a signature  $\sigma$  of  $m$ .
- $VF_{\epsilon}(k_p, \sigma, m)$  accepts it if  $\sigma$  is valid, otherwise it rejects it.

**Correctness requirement.** The correctness requirement is as follows. If  $k_s, k_p$  are generated from  $KG_{\epsilon}$ , and a signature  $\sigma$  on a message  $m$  is generated from  $SG_{\epsilon}(k_s, m)$ , then  $VF_{\epsilon}(k_p, \sigma, m)$  accepts it with probability 1. If we allow a negligible error  $\epsilon$ , then the correctness requirement holds with probability  $1 - \epsilon$ .

**Security requirement.** A blind signature scheme requires two security properties, blindness and one-more unforgeability [1,3,4]. The blindness captures message hiding from a malicious signer. In particular, a malicious cannot determine which message is queried to sign from the signing execution. The one-more unforgeability captures the inability of the adversary accessing to the signing oracle to obtain one-more valid signature that is not from the

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signing oracle. In particular, no adversary controlling the user can generate  $l + 1$  valid signatures given  $l$  valid signatures from the signer. For formal definitions, we refer to [1,3,4].

## 2.2. Notations and definitions [1,2]

We briefly review the notions and definitions from [1,2].

**Notations.** Let  $\lfloor x \rfloor$  be rounded down to the closest integer vector of  $x \in \mathbb{R}^n$ .  $l_2$ -norm and  $l_\infty$ -norm are the Euclidean norm and the infinity norm, respectively.  $\|A\|$  and  $\|A\|_p$  are the  $l_2$  matrix norm and the  $l_p$  matrix norm, respectively. Finally, let  $\rho(C)$  denote the spectral radius of  $C$ , i.e.,  $\rho(C) = \max\{|\lambda|, Cx = x\lambda\}$  for  $C \in \mathbb{C}^{n,n}$ . We denote the identity matrix of dimension  $n$  by  $Id_n$ , or  $Id$  simply.

**Definition 1** ( $l_p$ -norm). Let  $w$  be a vector of  $\mathbb{R}^n$ .

1. For  $p = \infty$ ,  $\|w\|_\infty$  is defined by  $\|w\|_\infty = \max\{|w_i|, \leq i < n\}$ .
2. For  $p \geq 2$ ,  $\|w\|_p$  is defined by  $\|w\|_p = \left(\sum_{i=0}^{n-1} |w_i|^p\right)^{1/p}$ .

**Definition 2** (CVP<sub>p</sub>). Let  $B$  be a given basis of a lattice  $\mathcal{L}$  and  $w$  a vector. The Closest Vector Problem (CVP) is to find a vector  $u$  such that  $\|w - u\|_p \leq \|w - v\|_p$  for all  $v \in \mathcal{L}$

Moreover, we introduce some definitions and notations related to matrices.

**Definition 3** (Hermite Normal Form, HNF). Let  $\mathcal{L}$  be a full-rank lattice of dimension  $n$  with  $H = (h_{i,j}) \in \mathbb{R}^n$  a basis.  $H$  is a Hermite Normal Form basis of  $\mathcal{L}$  if and only if

$$h_{i,j} \begin{cases} = 0 & \text{if } i < j \\ \geq 0 & \text{if } i \geq j \text{ for all } 0 \leq i, j < n. \\ < h_{j,j} & \text{if } i > j \end{cases}$$

**Definition 4** (Polytope Norm). Given a non-singular matrix  $P$  of dimension  $n$ , we define  $\|w\|_P = \|wP^{-1}\|_\infty$  for  $w \in \mathbb{R}^n$ .

## 3. The blind signature scheme by Zhu et al.

$\mathcal{H}$  is a hash function family mapping  $\{0, 1\}^* \rightarrow \{x \in \mathbb{Z}^n, \|x\|_{p_2} < 1\}$ . The blind signature scheme  $\epsilon = (KG_\epsilon, SG_\epsilon, VF_\epsilon)$  works as follows:

1.  $KG_\epsilon$  chooses a random hash function  $h$  from  $\mathcal{H}$  and a random matrix  $S \in \mathbb{Z}^n$ . Then, compute  $P = \lfloor 2\rho(S) + 1 \rfloor Id$  and the HNF basis  $H$  of  $P - S$ . Finally, output the public key  $k_p = (P, H)$ , and the secret key  $k_s = S$ .
2.  $SG_\epsilon$  defines the interactive protocol between  $\mathcal{U}$  and  $\mathcal{S}$  (described in Fig. 1) as follows.
  - (a)  $\mathcal{U}$  chooses a random  $r \leftarrow \{0, 1\}^*$
  - (b)  $\mathcal{U}$  computes  $v = h(m, r) \in \mathbb{Z}^n$ .
  - (c)  $\mathcal{U}$  selects a random blinding vector  $e$  where  $e$  is a linear combination of  $H$  and  $H$ 's integral coefficients are chosen from uniform distribution.
  - (d)  $\mathcal{U}$  chooses a blinding matrix  $T = B^{-1}NB$  where  $B$  is generated from  $H$ ,  $N$  is a permutation matrix.  $T$  maps a lattice point to another lattice point while keeping the vector's length.
  - (e)  $\mathcal{U}$  computes  $u = (v + e) * T$  and sends it to  $\mathcal{S}$ .
  - (f)  $\mathcal{S}$  repeatedly computes  $\delta' = u - \lfloor uP^{-1} \rfloor (P - S)$  until  $\|\delta'\|_P < 1$ .
  - (g)  $\mathcal{S}$  sends  $\delta'$  to  $\mathcal{U}$ .
  - (h) Finally, upon receiving  $\delta'$ ,  $\mathcal{U}$  compute  $\delta = \delta' * T^{-1} - e$ , and outputs the message and signature pair,  $(m, r, \delta)$ .

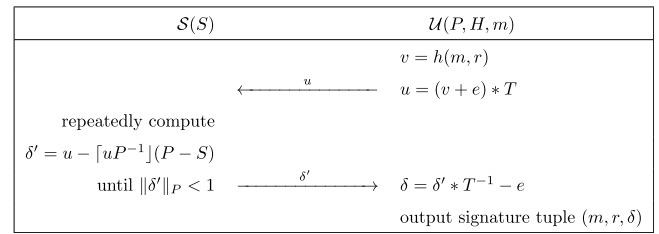


Fig. 1. The signing procedure in the blind signature scheme proposed by Zhu et al. [1].

3.  $VG_\epsilon$  verifies  $\delta$  as follows.

- (a)  $\mathcal{V}$  checks if  $\|\delta\|_P < 1$ . If it is not, rejects it.
- (b) Otherwise, if it is. Then, check if  $h(m, r) - \delta$  is a lattice point of  $\mathcal{L}$  with basis  $H$ . If it is, accept it, otherwise, reject it.

## 4. Cryptanalysis on Zhu et al.'s blind signature scheme

### 4.1. Correctness and blindness

In the Zhu et al.'s blind signature scheme [1], it is argued that the correctness of their blind signature scheme is obvious since their scheme is a variant of Plantard et al.'s signature scheme [2]. However, the final signature  $\delta$ , unblinded by the user  $\mathcal{U}$  is not  $\delta'$  generated by the signer  $\mathcal{S}$ . Therefore, even if  $\|\delta'\|_P < 1$ , it does not guarantee that  $\|\delta\|_P < 1$  where  $\delta = (\delta' * T^{-1} - e)$ . In particular, if  $\|e\|_P$  is larger than or equal to 2,  $\|\delta\|_P$  can be larger than 1.

Otherwise, if  $\|e\|_P$  is not large enough but smaller than 2, then, the blindness can be broken since given two message-signature pairs  $(m_0, r_0, \delta_0), (m_1, r_1, \delta_1)$ , the malicious signer can check which  $u_i$  is close to  $v_j$  for  $i, j \in \{0, 1\}$ , where  $v_j = h(m_j, r_j)$ . Since  $T$  is length preserving and  $\|e\|_P$  is small,  $|u_j| = |v_j + e| \approx |v_j|$ .

In the next, we formally show our argument described in the above as follows.

**Theorem 1.** In Zhu et al.'s blind signature scheme, if  $\|e\|_P \geq 2$ , the correctness does not hold. Otherwise, if  $\|e\|_P < 2$ , the blindness property does not hold with non-negligible probability.

We prove Theorem 1 by showing each case of  $\|e\|_P \geq 2$ , or  $\|e\|_P < 2$ . The former yields an incorrect scheme, and the latter breaks the blindness property with non-negligible probability.

**Showing incorrectness.** We first prove the incorrectness of the scheme in the following theorem.

**Theorem 2.** Suppose that  $\|\delta'\|_P < 1$  and  $T$  is a linear transformation preserving the norm  $\|\cdot\|_P$ , that is,  $\|v * T\|_P = \|v\|_P$  for every vector  $v \in \mathbb{Z}^n$ . If  $\|e\|_P \geq 2$ , then  $\|\delta\|_P \geq 1$  where  $\delta = \delta' * T^{-1} - e$ .

**Proof.** The proof is easily done using the triangle inequality.

$$\begin{aligned} \|\delta\|_P &= \|\delta' * T^{-1} - e\|_P \\ &\geq \|\delta' * T\|_P - \|e\|_P = \|\delta'\|_P - \|e\|_P > 2 - 1 \geq 1. \quad \square \end{aligned}$$

**Breaking the blindness property.** As shown in Theorem 2, to guarantee the correctness of Zhu et al.'s scheme,  $\|e\|_P$  must be smaller than 2. However, this bound on  $e$  leads the blindness to be totally broken. We show it formally in the next.

**Lemma 1.**

1. If  $\|e\|_P < 2$  and  $P = \lfloor 2\rho(S) + 1 \rfloor Id$ , then  $\|e\|_\infty < 2(\lfloor 2\rho(S) + 1 \rfloor)$

2. If  $v \in \mathbb{Z}^n$  satisfying  $\|v\|_{p_2} < 1$ , then  $\|v\|_\infty < (\lfloor 2\rho(S) + 1 \rfloor)^2$

**Proof.** Now we have  $1 \leq \lfloor 2\rho(S) + 1 \rfloor \leq 2n + 1$  meaning

$$\frac{\|e\|_\infty}{\lfloor 2\rho(S) + 1 \rfloor} = \|(\lfloor 2\rho(S) + 1 \rfloor)^{-1}e\|_\infty = \|eP^{-1}\|_\infty = \|e\|_P < 2.$$

Thus,  $\|e\|_\infty$  should be smaller than  $2(\lfloor 2\rho(S) + 1 \rfloor)$ . Similarly,  $\|v\|_{p_2} < 1$  gives us  $\|v\|_\infty < (\lfloor 2\rho(S) + 1 \rfloor)^2$ .  $\square$

**Lemma 2.** Assume that the distribution of the outputs of the hash function  $h : \{0, 1\}^* \rightarrow \{x \in \mathbb{Z}^n, \|x\|_{p_2} < 1\}$  and  $N = \lfloor 2\rho(S) + 1 \rfloor \geq 4$ . Then the probability that  $\|x_1 - x_2\|_\infty$  is larger than  $4N$  is at least  $1 - \frac{16}{N^2}$ .

**Proof.** By Lemma 1, the space of outputs of  $h$  is  $\{x \in \mathbb{Z}^n, \|x\|_\infty < N^2\}$  which is represented by the square with size of  $2N^2$  centered at origin in Euclidean plane. On this range, the  $4N$ -neighborhood of  $x_1$  has at most  $(2 \cdot 4N)^2$  area which tends to get smaller as  $x_1$  is close to the boundary. Thus, the probability that  $x_2$  lies outside of this area is at least  $1 - \frac{(2 \cdot 4N)^2}{(2 \cdot N^2)^2} = 1 - \frac{16}{N^2}$ .  $\square$

From Lemma 2, we can construct an adversary attacking the blindness of this scheme as in the following theorem.

**Theorem 3.** If  $\|e\|_P < 2$ , the blindness of Zhu et al.'s scheme is broken with non-negligible probability.

**Proof.** We construct an PPT adversary  $\mathcal{A}$  trying to break the blindness of this scheme.

1. The adversary  $\mathcal{A}$  uses the algorithm  $KG_\epsilon$  to generate a key pair  $(k_s = S, k_p = (P, H))$  of this blind signature scheme. The public key  $k_p$  is made public, while  $\mathcal{A}$  keeps  $k_s$  as his private key.
2. The adversary  $\mathcal{A}$  outputs two messages  $m_0$  and  $m_1$ , which might depend on  $k_s$  and  $k_p$ .
3. Let  $U_0$  and  $U_1$  be users with access to the public key  $k_p$  but not to the secret key  $k_s$ . For a random bit  $b$  that is unknown to  $\mathcal{A}$ , user  $U_0$  is given the message  $m_b$ , while the message  $m_{1-b}$  is sent to user  $U_1$ . Both users engage in the interactive signing protocol (with  $\mathcal{A}$  as signer), obtaining blind signatures  $\delta_0$  and  $\delta_1$  for the messages  $m_0$  and  $m_1$  with random  $r_0$  and  $r_1$ , respectively.

- In this procedure,  $\mathcal{A}$  is given  $u_b = (v_b + e_b) * T$  and  $u_{1-b} = (v_{1-b} + e_{1-b}) * T$  where  $v_i = h(m_i, r_i)$  for  $i = b, 1 - b$ .

- $\mathcal{A}$  can get  $\|u_i\|_P = \|v_i + e_i\|_P$  for  $i = b, 1 - b$  since  $T$  preserves the norm.

4. The message/signature pairs  $(m_0, r_0, \delta_0)$  and  $(m_1, r_1, \delta_1)$  are given to the adversary  $\mathcal{A}$ .
5.  $\mathcal{A}$  computes  $\|v_i\|_P$  where  $v_i = h(m_i, r_i)$  for  $i = 0, 1$  and outputs a bit  $\bar{b}$  such that  $\|v_{\bar{b}}\|_P - \|u_{\bar{b}}\|_P = \min\{\|v_0\|_P - \|u_b\|_P, \|v_1\|_P - \|u_b\|_P\}$ .

In the scheme [1],  $P := \lfloor 2\rho(S) + 1 \rfloor Id$ . Therefore, by Lemma 2, the probability that  $\|v_0 - v_1\|_\infty$  is larger than  $4N$  is at least  $1 - \frac{16}{N^2}$ . Furthermore, here we are considering the case  $\|e\|_P < 2$ . Therefore, for two bits  $b, b' \in \{0, 1\}$ , we have:

$$\|v_b - u_{b'}\|_\infty \leq \|e_{b'}\|_\infty < 2N, \text{ with probability } 1, \text{ if } b = b', \text{ and}$$

$$\|v_b - u_{b'}\|_\infty > 4N - 2N = 2N, \text{ with probability at least}$$

$$1 - \frac{16}{N^2}, \text{ if } b \neq b'.$$

Now, let  $L$  denote the case when  $\|v_0 - v_1\|_\infty > 4N$ . Clearly,  $\Pr[L] \geq 1 - \frac{16}{N^2}$ . Also, in the event of  $L$ ,  $\bar{b} = b$  with probability  $1 - \epsilon$

for a negligible function  $\epsilon$ , since  $\mathcal{A}$  can perfectly determine it by the distance of  $\|v_{\bar{b}}\|_P - \|u_{\bar{b}}\|_P$ . In the event of  $\bar{L}$  ( $L$ 's complement case), without loss of generality, we can say the probability that  $\bar{b} = b$  is  $\frac{1}{2} + \zeta$  for a function  $\zeta \in [0, \frac{1}{2}]$ .<sup>1</sup>

Then, we have the advantage of this adversary  $\mathcal{A}$  :

$$\begin{aligned} \text{Adv}_{\mathcal{A}} &= \left| \Pr[\bar{b} = b] - \frac{1}{2} \right| = \left| \Pr[\bar{b} = b|L] \Pr[L] \right. \\ &\quad \left. + \Pr[\bar{b} = b|\bar{L}] \Pr[\bar{L}] - \frac{1}{2} \right| > \frac{1}{2} \Pr[L] + \epsilon, \end{aligned}$$

for a negligible function  $\epsilon$ .

Moreover, an average approximation of  $\rho(S)$  is about  $\sqrt{\frac{2n}{3}}$  [2, Chapter 7.3]. Therefore, an average approximation of  $N$  is about  $2\sqrt{\frac{2n}{3}} + 1$ , and we can obtain the advantage of  $\mathcal{A}$ ,

$$\text{Adv}_{\mathcal{A}} > \frac{1}{2} \left( 1 - \frac{16}{N^2} \right) + \epsilon \approx \frac{1}{2} - \frac{8}{\left( 2\sqrt{\frac{2n}{3}} + 1 \right)^2} + \epsilon > \frac{1}{2} - \frac{8}{n} + \epsilon,$$

which is not negligible in  $n$  for  $n > 16$ . Therefore, the blindness is broken with non-negligible probability.  $\square$

## 5. Discussion

In this section, we briefly describe the difficulties of building provably secure blind signatures and future work. To our best knowledge, from lattices, there is one known provably secure blind signature [3]. In [3], it is well described why building a provably secure blind signature is difficult in general and why it is more difficult when it comes to working with lattices. Here is a quick summary and we refer to [3] for details. First, building a provably secure blind signature is non-trivial in general since two security requirements of a blind signature scheme, the blindness and the one-more unforgeability have somewhat conflicting characteristics. To provide the blindness, the user is given an ability to modify the signature from the signer. However, the ability must be limited only to the single signature. Otherwise, it hurts the one-more unforgeability.

Secondly, building a provably secure blind signature from lattices becomes harder because in lattices, the completeness is not naturally followed. In particular, the blind signature by Rückert [3] makes use of a commitment scheme and additional interactions to overcome the incompleteness. Moreover, in lattices, RSA-style design does not work [3]: the RSA-style using preimage trapdoor functions consists of the following procedures, (1) hash, (2) blind, (3) invert, then (4) unblind. In lattice, such a style does not work due to the linearity of the function (For details, we refer to [3]).

As summarized in the above, building a blind signature that is provably secure in lattices requires a careful design and rigorous security analysis. Often plausible designs fail to be provably secure [1,5,6]. Since the problem becomes harder in lattices, a rigorous study is required. One possible approach is improving the scheme by Rückert [3] by lessening the number of interactions. One might try to lessen them by sending two or more commitments at a time. Another possible approach is building a lattice-based witness indistinguishability primitive first and then applying it as a building block like in [5,6]. The aforementioned methods require further research to ensure provable security analysis and concrete scheme design. In this paper, we focus on providing cryptanalysis of the particular scheme. We will continue the further research as a future work.

<sup>1</sup> For  $\zeta \in (-\frac{1}{2}, 0]$ , we can similarly obtain the same lower bound.

## 6. Conclusion

In this paper, we present cryptoanalysis on the blind signature scheme by Zhu et al. [1]. We formally prove that either the scheme is incorrect, or the blindness property is not preserved with high probability.

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