



Three-phase induction motor fault detection based on thermal image segmentation



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ABSTRACT

Induction motors are widely used in many industrial applications. Hence, it is very important to monitor and detect any faults during their operation in order to alert the operators so that potential problems could be avoided before they occur. In general, a fault in the induction motor causes it to get hot during its operation. Therefore, in this paper, thermal condition monitoring has been applied for detecting and identifying the faults. The main contribution of this study is to apply new colour model identification namely Hue, Saturation and Value (HSV), rather than using the conventional grayscale model. Using this new model the thermal image was first converted into HSV. Then, five image segmentation methods namely Sobel, Prewitt, Roberts, Canny and Otsu was used for segmenting the Hue region, as it represents the hottest area in the thermal image. Later, different image matrices containing the best fault information extracted from the image were used in order to discriminate between the motor faults. The values which were extracted are Mean, Mean Square Error and Peak Signal to Noise Ratio, Variance, Standard Deviation, Skewness and Kurtosis. All the above features were applied in three different motor bearing fault conditions such as outer race, inner race and ball bearing defects with different load conditions namely No load, 50% load and 100% load. The results showed that the proposed HSV colour model based on image segmentation was able to detect and identify the motor faults correctly. In addition, the method described here could be adapted for further processing of the thermal images.

1. Introduction

Different faults are likely to occur on the induction motor during its operation causing it to fail. However, through condition monitoring the lifetime of the motor could be increased by receiving up-to-date information about its behavior. In general, induction motors have two main types of faults namely electrical and mechanical, which are caused by heavy loading conditions. The most common and frequent fault is the mechanical fault as it accounts for about 53% of faults [1,2]. Generally, bearing defects are classified as mechanical faults referred to as inner race, outer race and ball bearing defects [3–5]. Recently, with the purpose of keeping the rotating machinery working in safe and reliable mode, the area of fault diagnosis based on condition monitoring

has received the researchers' attention to develop novel fault detection and classification methods which are capable of overcoming the limitations of the current condition monitoring methods.

In recent years, Infrared Thermography (IRT) has been used as a condition monitoring technique, as it is non-intrusive, non-contact, single sensor based on the temperature measurements and fine-grained system [6]. Thus, infrared thermography has been adopted for monitoring the induction motors and diagnose its faults by comparing the hot region of the healthy motor image (reference image) with the hot region of the faulty motor image (faulty image). The thermal image contains much information about the motor and this information could be extracted using different image processing techniques [7]. Furthermore, the simplest way to identify the thermal image hotspot is by

Abbreviations: HSV, Hue, Saturation, Value; MSE, Mean Squared Error; PSNR, Peak Signal to Noise Ratio; SD, Standard Deviation; ITADA, Infrared Thermal Anomaly Detection Algorithm; MRF, Markov Random Field; RGB, Red, Green, Blue; ∇f , Gradient; z , Image pixel value; S_x , S_y , Sobel operators in the X and Y-axis; IRT, Infrared Thermography; MoASoID, Method of Area Selection of Image Differences; NETA, International Electrical Testing Association; I, Value; $g(m, n)$, Gradient operator; $G_r(m, n)$, Gaussian filter; σ , Variance; $f(m, n)$, Adaptive filter; m, n , Image matrix; G_x and G_y , Derivatives directions of X and Y points; μ_1, μ_2 , The values for each region of image after division into two regions; μ , Mean; $x(i, j)$, Reference image; $y(i, j)$, Threshold image; N and M , The height and width of reference image respectively; RPM, Rotate per Minute; Hz, Hertz; \emptyset , Phase; IM, Induction Motor; mm, Millimetres; $^{\circ}\text{C}$, Celsius

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applying image segmentation methods. In [8] many segmentation methods have been discussed and compared with each other. The image segmentation relies on the thresholding because it is intuitive and simple to implement. Many studies have applied segmentation methods on grayscale image without considering the limitation of grayscale conversion where the aim is to separate the Region of Interest (ROI) from the background at specific threshold levels by using the gray-level histogram. In [9], the authors have applied Otsu method, an automatic threshold technique, for extracting the hottest region from the thermal image, which is widely used in different applications. Further, Infrared Thermal Anomaly Detection Algorithm (ITADA) has been used for detecting the faults in transformers, capacitors, etc., based on the statistical calculation of Otsu method using the gray-level histogram [7–9]. In [10] the authors have indicated that the IRT could monitor the temperature of any electrical device by sensing the device emission of infrared energy, and it has been widely known that the electrical equipment's life reduces when the temperature is increased.

Nowadays, Artificial Intelligence (AI) based techniques are employed for automatic condition monitoring. In [11] a multilayer perceptron neural network algorithm has been used for diagnosing the electrical equipment faults based on gray-level histogram, gray-level matrix and component based intensity features that were extracted from the thermal image. In [12] a novel feature extraction method known as Method of Area Selection of Image Differences (MoASoID) has been proposed, which selects the area with the biggest change for fault recognition, then uses these features as input for the classification system. In the work presented in [13] monochrome thermal image analysis has been carried out for pattern recognition with the application of area perimeter vector to detect the motor rotor fault relying on Bayes classifier. The authors in [14] detect failure of cooling system and the inter-turn faults based on two thermal profile indicators with the help of the International Electrical Testing Association (NETA). Further, more investigation has been done to detect the failure of the cooling system based on the infrared thermography technique, as it is essential for reducing the motor temperature [15]. In [16] the idea of isothermal process has been used to detect several types of induction motor faults in a petrochemical plant based on infrared images for displaying the temperature gradient. An extensive review for most of IRT applications on electrical and mechanical faults detection has been carried out in [17], which states that the IRT has proven to be an important tool for detecting and diagnosing faults. Meanwhile, in [18] the image segmentation has been applied for thermal image with the purpose of finding the edge of the ROI in thermal image. In [19] the Hough Transform and image segmentation has been applied for breast cancer detection based on thermal images. Additionally, in [20] different types of image segmentation methods such as Sobel, Roberts, Canny and many others have been applied for thermal images for finding the edge in thermal images. In [21] Markov Random Field (MRF) was applied on logo image to detect the ROI from the image based on image segmentation. Converting the thermal image to grayscale image and applying the image processing methods was not helpful enough to detect the faults correctly because it locates the point of rapid changes in intensity value of gray-level. Though this could also be done in the colour image, the problem is that it is not easy to gather the prior knowledge about the object colour. Many studies have applied the image segmentation methods in different fields such as logo detection, food processing, medial image processing, traffic signal system and many others [22]. However, very few researchers have applied the image processing methods in the field of condition monitoring based on HSV colour model especially for induction motor fault detection.

All the authors of the references quoted above have adopted the Red, Green and Blue (RGB) thermal image or grayscale image without considering the limitation of this method which leads to some information being lost while converting the original image to the grayscale or RGB image. Another drawback of this method is that the thermal image has colour variation from red through green to blue, thus

applying the grayscale may lose significant information of the target object. Therefore, the new studies are required to look for ways to adapt to a new colour model for processing the thermal image. For this reason, the Hue, Saturation and Value (HSV) colour model has been applied in some condition monitoring applications such as monitoring the electrical equipment as in [23]. As a result, in this paper the thermal image was converted to HSV colour model rather than into grayscale for induction motor fault detection. Then, different image segmentation methods have been applied for the purpose of detecting the hottest area, which are Sobel, Prewitt, Roberts, Canny and Otsu. Further, different image matrices have been applied to extract the best fault information from the image, which are Mean, Mean Square Error and Peak Signal to Noise Ratio, Variance, Standard Deviation, Skewness and Kurtosis in order to detect the induction motor fault correctly. This paper has been organized as follows: Section 2: describes the proposed feature extraction techniques, Section 3: presents the experimental study and Section 4: gives the conclusion.

2. Proposed feature extraction methods for thermal image processing

2.1. HSV colour model

The Hue-Saturation-Value (HSV) colour model is defined as how the human eyes sense colours. The following formulas have been used to convert Red, Green and Blue (RGB) image into HSV colour model in terms of its three components as stated below:

2.1.1. In terms of Hue

Hue value indicates how the eye perceives the object's colour and this could be calculated from the red, green and blue colours by measuring the distance. Hue region is very helpful for detecting the hottest region because it works opposite to saturation as it is less meaningful when the saturation is 0 or when intensity is 0 or 1, and more meaningful when the saturation is 1. Consequently, Hue region has been used for further processing in order to detect the motor fault.

The formula of calculating the Hue value (H) is given below:

$$H = \begin{cases} 0 & \text{if } B \leq G \\ 360 - \theta & \text{if } B > G \end{cases}$$

$$\theta = \cos^{-1} \left\{ \frac{\frac{1}{2}[(R - G) + (R - B)]}{\left[\frac{1}{2}[(R - G)^2 + (R - B)(G - B)] \right]^{\frac{1}{2}}} \right\} \quad (1)$$

where θ is the new image colour the R: Red, G: Green, B: Blue.

2.1.2. In terms of saturation

Saturation also known as "Chroma" describes the vividness or dullness of a color. The colour range is from 0 to 100%. The faded colour and grayness appears when the saturation value is low. Thus, the range of the grayness is from 0 to 1, if the value is '0' the colour is gray, while the colour is white if the value is '1'. In addition, the higher the fading, the grayer the colour based on the following formula (S: saturation):

$$S = 1 - \frac{3}{(R + G + B)} [\min(R, G, B)] \quad (2)$$

2.1.3. In terms of value

Value represents the brightness of a colour and it ranges between 0 and 100%. The colour is black when the value is '0', and the colour brightness will change and show various colours when the value (I) increases based on the following formula:

$$I = \frac{1}{3}(R + G + B) \quad (3)$$

where I represent the value.

Generally, RGB colour has been widely used in the optical instruments and digital images. However, RGB is not sensitive and helpful to statistical analysis and human visual inspection. Consequently, the non-linear transformation of HSV from RGB colour provides important information with more accuracy than the RGB colour. Hence, HSV was used in this study for processing and analyzing the thermal image of induction motors in order to extract the best and accurate fault information for fault detection.

2.2. Image segmentation

Image segmentation is a procedure of dividing the image into several segments. It has three different approaches:

- 1- Finding the thresholds based on the pixel properties distribution. This technique has been applied onto image pixel intensity. Thus, it converts the digital image into binary image for further processing.
- 2- Identifying the boundaries between all regions by relying on the discontinuities in intensity level. The image has been divided into sub-regions based on the method rules such as all the image pixels must have the same gray-level if it is in one region. Furthermore, it relies on the neighboring pixel clustering, which sometimes referred as region according to their functional and anatomical roles.
- 3- Discovering any rapid changes in intensity value. It is known as edge based method. Generally, edge detection methods have been used for finding the discontinuities in gray-level.

Accordingly, image segmentation technique should be chosen based on the problem that needs to be segmented. The next section will discuss the edge detection techniques that have been used in this study for thermal image.

2.3. Image segmentation based on edge detection

The edge detection methods of an image reduce the image data dimensionality to be processed and they may contain essential information regarding the object shape. This method is able to extract the exact edge line for all objects in the image with good location accuracy. Many papers in the literature covering these techniques are available in application such as biometrics, medical image processing, security, monitoring the electrical devices and many others. However, there is no study indicating and judging the performance of these techniques because all the studies done by authors so far are based on individual applications.

Several methods such as ‘‘Sobel’’, ‘‘Prewitt’’, ‘‘Roberts’’, ‘‘Canny’’ and ‘‘Otsu’’ have been used for finding and extracting the hottest region from the thermal images by calculating the gradient. The following subsections will review these techniques briefly.

2.3.1. Sobel edge detection

It was introduced in 1970 by Sobel [24]. It precedes the edges at those points where the gradients are higher. The gradient (∇f) is the difference between the columns and rows of neighborhood 3x3 which is calculated by Sobel operators. Table 1 shows the center pixel in each column and row [23].

Where z (1 to n) is the pixel value.

$$\nabla f = \sqrt{S_x^2 + S_y^2} \tag{4}$$

Table 1
Image neighborhood.

Z1	Z2	Z3
Z4	Z5	Z6
Z7	Z8	Z9

Table 2
Masks for S_x direction.

-1	-1	-1
0	0	0
1	1	1

Table 3
Masks for S_y direction.

-1	0	1
-1	0	1
-1	0	1

where ∇f is the gradient, S_x & S_y are Sobel operators in the X and Y-axis respectively.

2.3.2. Prewitt edge detection

It has been proposed in 1970 by Prewitt [24]. It detects the edges of an image in vertical and horizontal direction. The gradient-based edge detector which operates in the 3 * 3 neighborhood uses two masks as shown in Tables 2 and 3.

Prewitt edge detection technique is simple to implement than the Sobel method, but it produces noisier results in some situations.

2.3.3. Roberts edge detection

Lawrence Roberts introduced the Roberts edge detection method in 1965 [25]. It performs a 2-Dimensional spatial gradient, which is quick to compute with simple measurements performed on the image. In addition, each point of the output image represents the estimated absolute magnitude of the spatial gradient at that point. In case of 2 * 2 gradient operator as shown in Tables 4 and 5, Roberts operator has been used for calculating the difference between adjacent pixels.

2.3.4. Canny edge detection

It is considered as multi-step method that can detect a wide range of edges in the image with noise reduction [22], as shown in the following steps:

2.3.4.1. Noise reduction. Gaussian filter has been applied to reduce the noise and unwanted details in the image based on the following equation:

$$g(m, n) = G_\sigma(m, n) * f(m, n) \tag{5}$$

Where $g(m, n)$ is the gradient operator, $G_\sigma(m, n)$ is the Gaussian filter, σ is the standard deviation, $f(m, n)$ is the adaptive filter and m, n are the image matrices.

The G_σ is calculated by:

$$G_\sigma = \frac{1}{\sqrt{2\pi\sigma^2}} \exp\left(-\frac{m^2 + n^2}{2\sigma^2}\right) \tag{6}$$

2.3.4.2. The gradient computation. The gradient magnitude and direction have been calculated at every single point based on the Sobel operator for both vertical (G_x) and horizontal (G_y) directions. The gradient can be calculated for each pixel from two images as follows:

$$\text{EdgeGradient}(G) = \sqrt{G_x^2 + G_y^2} \tag{7}$$

where G_x and G_y are the derivatives of point X and Y in horizontal and

Table 4
Masks for S_x direction in Robert’s operators.

-1	0
0	1

Table 5
Masks for S_y direction in Robert's operators.

0	-1
1	0

vertical direction.

In this step, two cases have been considered for gradient: the first one is the high gradient, which means that there is a significant change in the colour (implying edge), secondly is the low gradient, which means that there is no substantial change (no edge).

2.3.4.3. Non-Maximum suppression. In this step, full image scanning has been done in order to remove any unwanted pixels that may not be considered as an edge. Then, each pixel will be checked, whether it is a local maximum or not. If it is not a local maximum, the pixel will be set to zero, otherwise it will be set to 1 and considered as an edge.

2.3.4.4. Hysteresis thresholding. The decision will be made to all image pixels in order to determine if they are real edges or not. Therefore, two values for thresholding are needed, maxVal and minVal. Any pixel value with the intensity gradient less than minVal is considered as non-edge, while any pixel value more than maxVal is considered as an edge. The pixel values that lies between these two values (maxVal and minVal) will be checked based on the connectivity to decide either they are an edge or not. They will not be considered as an edge if they are connected to non-edge pixels and they will be considered as an edge if they are connected to an edge.

2.3.4.5. Otsu method. This method has been widely applied for thermal image processing in order to detect the hottest regions. It automatically performs clustering based on image threshold. Every image has two classes of pixels, and then it separates these classes by calculating the optimum threshold with the aim of differentiating them into different classes based on the intra-class variance. Four important steps need to be followed in this method to obtain the Otsu image, as explained below:

1. Select average value of image intensity (estimated threshold).
2. Divide the image into two regions R1 and R2, and then calculate the mean μ_1 and μ_2 values for each region.
3. Calculate the mean value of μ_1 and μ_2 , the mean gray-level value

$$T = \frac{1}{2}(\mu_1 + \mu_2) \quad (8)$$

where T is the image mean gray-level value, μ_1 and μ_2 are the image mean gray-level values for region 1 and region 2.

4. Select a new threshold
5. Repeat steps 2–4 until μ_1 and μ_2 values do not change.

All the proposed edge detection methods above have been used for detecting the hottest region and extracting the best information from the motor thermal image in order to detect the motor faults. In addition, the results that are obtained from the edge detection methods (segmented images) have been used for extracting the image metrics, for example, mean, mean square error and peak signal to noise ratio, variance, standard deviation, skewness and kurtosis with the purpose of using them for fault discriminating. The following section describes them briefly.

2.4. Image metrics

The most common image metrics used contains Mean (μ), Mean Squared Error (MSE) and Peak Signal to Noise Ratio (PSNR), Variance

(V), Standard Deviation (SD), Skewness (S) and Kurtosis (K) as described below [19,26]:

2.4.1. Mean (μ)

In image processing, the mean is used for noise reduction. It also calculates the average values and extract the brightness information from the image. Many types of mean values have been discussed in [18] such as harmonic mean, geometric mean, arithmetic mean and contra-harmonic mean. All of them relied on the arithmetic mean for reducing the image noise, which could be calculated by the equation given below:

$$\mu = \frac{1}{mn} \sum_{(r,c) \in W} g(r, c) \quad (9)$$

where g is the noisy image, r, c are the row and column coordinates respectively, within the size of $m * n$ image (W).

2.4.2. Mean square Error (MSE) & Peak Signal to noise Ratio (PSNR)

MSE measures the differences between the reference image pixel and threshold image. The PSNR value relies on the MSE value as shown in the following formulae:

$$MSE = \frac{1}{MN} \sum_{i=1}^N \sum_{j=1}^M (x(i, j) - y(i, j))^2 \quad (10)$$

$$PSNR = 10 \log_{10} \frac{(2^n - 1)^2}{\sqrt{MSE}} \quad (11)$$

where $x(i, j)$ is the reference image, $y(i, j)$ is the threshold image, N and M are the height and width of the reference image respectively.

2.4.3. Variance

It measures how far a set of numbers is spread out [20]. In image processing, it can be utilized to determine the edge position. Mathematically variance is given by:

$$\sigma^2 = \frac{1}{mn - 1} \sum_{(r,c) \in W} \left(g(r, c) - \frac{1}{mn - 1} \sum_{(r,c) \in W} g(r, c) \right)^2 \quad (12)$$

where σ is the variance value.

2.4.4. Standard deviation (SD)

It measures the variation or disruption, which exists from the average (mean). In case of low standard deviation, the data point tends to be very close to the mean, while in case of high standard deviation, the data points spread out over the range of values. Consequently, the standard deviation could be mathematically calculated by using the following formula:

$$\sigma_g = \sqrt{\frac{1}{mn - 1} \sum_{(r,c) \in W} \left(g(r, c) - \frac{1}{mn - 1} \sum_{(r,c) \in W} g(r, c) \right)^2} \quad (13)$$

The standard deviation filter is able to recognize some important patterns.

2.4.5. Skewness

It measures the asymmetry. A data set, is considered as asymmetric if it looks the same to the left and right of the center [21]. Skewness can be positive, negative or undefined [27]. Qualitatively, a positive value indicates that the tail on the right side is longer than the tail on left side. However, a negative value indicates that the tail on the left side is longer than the right side, and the zero value (undefined) indicates that the values have been distributed on both sides, which is defined as:

$$S = \frac{\frac{1}{mn-1} \sum_{(r,c) \in W} \left(\frac{1}{mn-1} \sum_{(r,c) \in W} (g(r,c) - \frac{1}{mn-1} \sum_{(r,c) \in W} g(r,c)) \right)^3}{\left(\frac{1}{mn-1} \sum_{(r,c) \in W} \left(\frac{1}{mn-1} \sum_{(r,c) \in W} (g(r,c) - \frac{1}{mn-1} \sum_{(r,c) \in W} g(r,c)) \right)^2 \right)^{\frac{3}{2}}} \quad (14)$$

In image processing, the glossier and darker surfaces tend to be more positively skewed than other surfaces. Thus, the skewness has been used for making judgment between the image surfaces.

2.4.6. Kurtosis

It calculates ratio of the four central moment of distribution. In other words, it measures whether the data look heavy or light tailed relative to the distribution [21,28]. Thus, the data set with high Kurtosis tend to have heavy tails, and the data with low kurtosis tend to have light tails or lack of outliers as defend below:

$$K = \frac{\frac{1}{mn-1} \sum_{(r,c) \in W} \left(\frac{1}{mn-1} \sum_{(r,c) \in W} (g(r,c) - \frac{1}{mn-1} \sum_{(r,c) \in W} g(r,c)) \right)^4}{\left(\frac{1}{mn-1} \sum_{(r,c) \in W} \left(\frac{1}{mn-1} \sum_{(r,c) \in W} (g(r,c) - \frac{1}{mn-1} \sum_{(r,c) \in W} g(r,c)) \right)^2 \right)^2} \quad (15)$$

In digital image processing, Kurtosis is interpreted in combination with noise and resolution measurement. High Kurtosis goes hand in hand with low noise and resolution.

These measures in the image metrics have been adopted in this study for identifying the motor faults, as they are easy and fast to be calculated by using “MATLAB R2015b” software for coding and storing them as “Excel” file sheet. Fig. 1 illustrates the thermal image processing procedure followed in the experimental study, which is given in the following section.

3. Experimental study

The experimental setup used in this study is shown in Fig. 2. The test rig has different equipment which are three-phase squirrel cage induction motor (model “Clarke”, 0.75 kW output power, and speed 1480 rpm), “AW” dynamometer which is used for creating the load to the motor and “FLIR C2” thermal image camera for capturing the thermal images as shown in Fig. 3.

During the experiment the thermal image for the healthy motor were captured in order to be used as reference images to be compared with the faulty images. Three bearing faulty conditions were investigated in this study which are inner race, outer race and ball

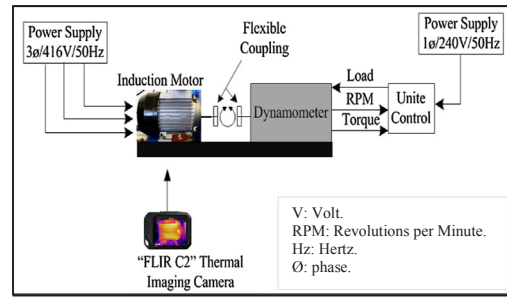


Fig. 2. Schematic diagram of the test rig used in the experimental study.

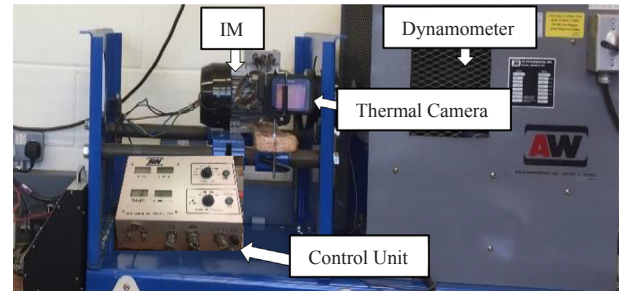


Fig. 3. The photograph of the test rig used in the experimental study.

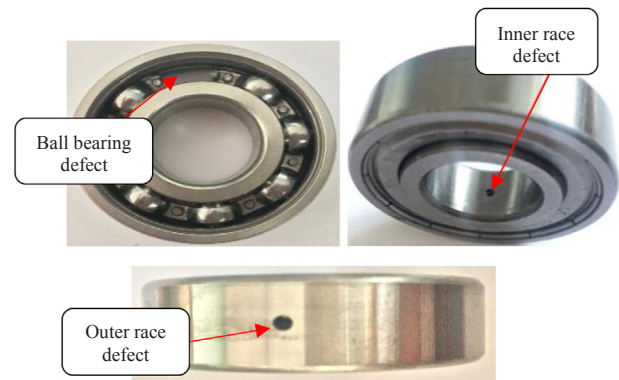


Fig. 4. Bearing, inner and outer race faults.

bearing defects. The fault was created by drilling a 0.2 cm hole into the outer race, inner race and one ball from its cage as shown in Fig. 4, the deep groove ball bearings (6204-Z) was used in the tests.

3.1. Experimental procedure

First of all, the thermal camera parameters were set according to the experimental conditions and most of these parameters were set automatically. However, most important parameters were set according to the camera specification and experimental requirements such as emissivity, scale temperature, distance, focal length and relative humidity as shown in Table 6. All the parameters were chosen according to the test rig conditions and were maintained for all three induction motor faults in order to have the same image dimension for all the faults.

In this study, the analysis was done by capturing the thermal image for the healthy motor in order to be used as reference image and later to compare it with the faulty motor image. In the experimental work, the motor was set to normal condition with a full speed of 1480 rpm (No-Load condition), then the first image was captured after 10 min of running in normal condition and the load was increased slightly by AW dynamometer to 50% load condition. Then, the image was captured after 15 min of running in 50% load, as well as under 100% load. Afterwards, in each of the load condition, the healthy, outer race

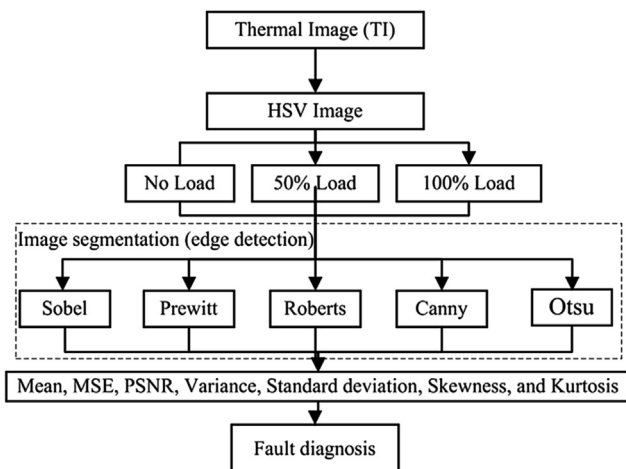


Fig. 1. Thermal image processing flow diagram.

Table 6
Thermal camera specification and fault description.

Thermal camera (FLIR C2)	Focal length: 1.54 mm (millimeter) IR sensor: 80 * 60 (4800 measurement pixels). Storage temperature range: -40 °C to +70 °C (Celsius). Minimum focus distance: 0.15 m. Accuracy: ± 2 °C. Thermal sensitivity: < 0.10 °C
Fault simulator	Healthy motor. Outer race bearing defect. Ball bearing defect. Inner race bearing defect.

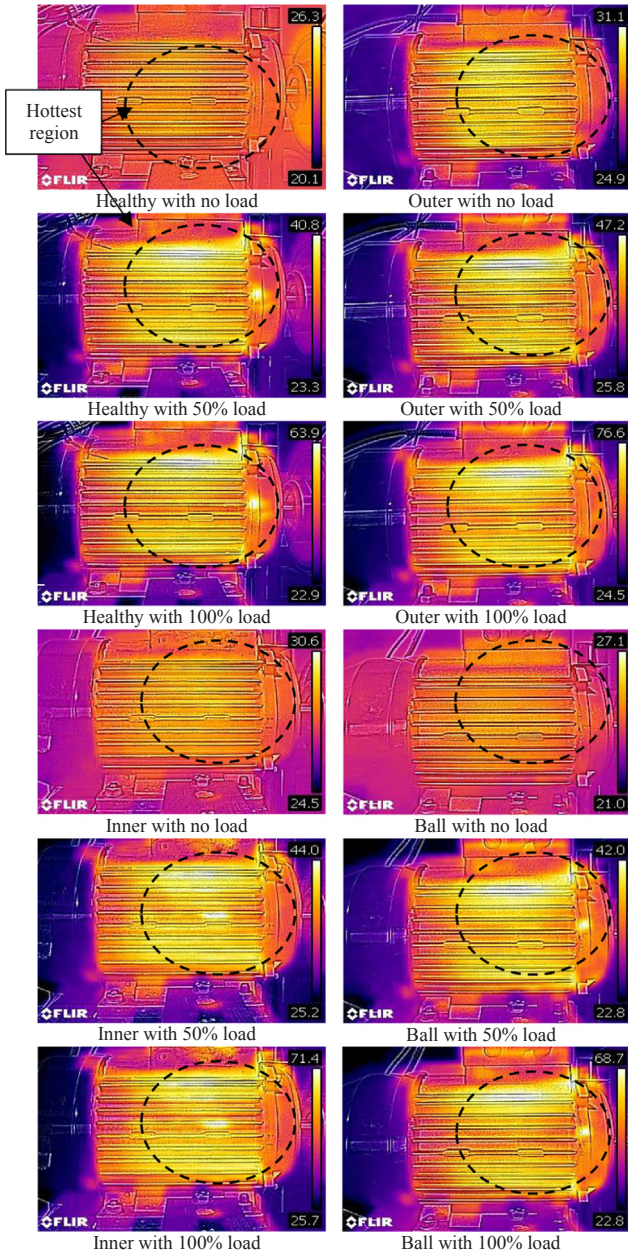


Fig. 5. Thermal images for healthy motor and faulty motor under no load, 50% and 100% load.

bearing defect, ball bearing defect and inner race bearing defect status were captured by the thermal image FLIR C2. The data from the thermal FLIR C2 camera was saved to the camera internal memory and

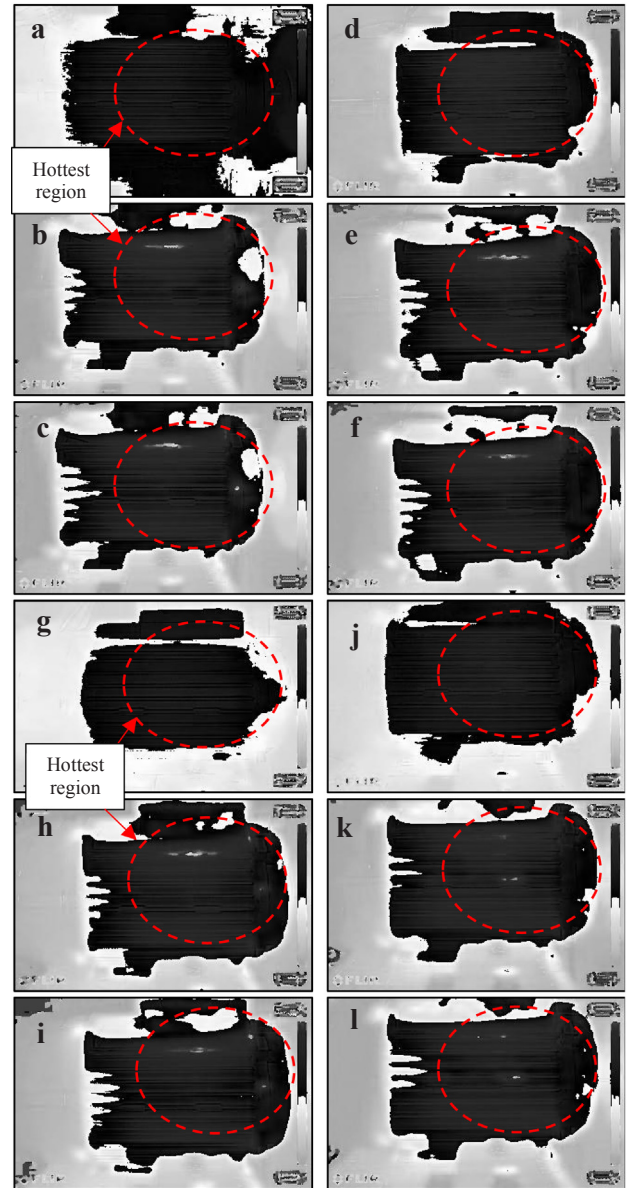


Fig. 6. Hue region for healthy (a: No load, b: 50% load, c: 100% load), outer race (d: No load, e: 50% load, f: 100% load), inner race (g: No load, h: 50% load, i: 100% load), ball (j: No load, k: 50% load, l: 100% load).

then transferred to the PC (Personal Computer) for further processing. Fig. 5 illustrates the thermal images for healthy and faulty motor with three load conditions.

3.2. Results and discussion

All motor thermal images were converted into HSV colour model image in order to extract the hottest region from the image based on the Hue region, as it was able to detect the hottest region. Fig. 6 illustrates the Hue region for the healthy and faulty image with three different load conditions (No-load, 50% load and 100% load). In Fig. 6 the hottest region clearly appeared after applying the load to the motor, which indicates that the proposed Hue image technique and the image segmentation methods have worked successfully for detecting the motor hot spot without relying on the grayscale colour model.

Then the edge detection was applied based on the threshold of the Hue image. Later, the gradient based edge detection techniques such as Sobel, Prewitt, Roberts, Canny and Otsu were used for detecting the

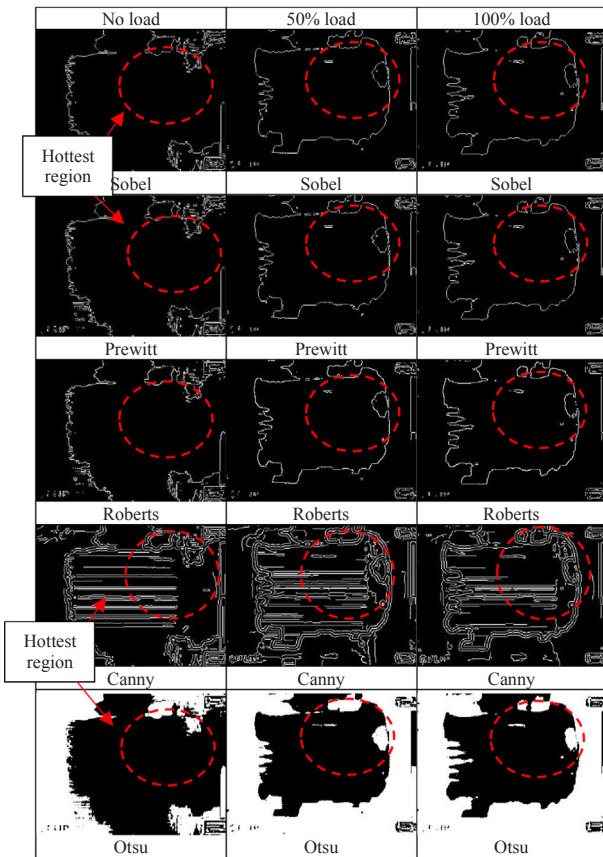


Fig. 7. Thermal image segmentation for healthy motor with different load conditions and segmentation method.

hottest region as shown in Fig. 7. This figure illustrates the image segmentation differences between the hottest regions found on the healthy motor whereby demonstrating that the image segmentation methods have the ability to detect the hottest region based on the Hue image. Careful examination of the figures shows that the hotspot has appeared clearly on the motor image with 50% and 100% loads. Additionally, the hotspots of 100% load condition are narrower than those hotspots for 50% load, which would be helpful for the operators to diagnose the motor faults correctly. As stated earlier, the Hue region image segmentation was adopted for further processing.

Further, Figs. 8–10 show the results of applying edge detection algorithms on all the faulty bearing defects (outer race, inner race and ball bearing) with three different load conditions. In these figures, all image segmentation methods produced different patterns for all the faults and conditions. This gives the proposed technique the ability to detect the motor faults correctly. These results show that each fault has its own temperature pattern, for example, in case of no load, the hottest pattern is smaller than the pattern generated for 50% load condition. This indicates that the proposed Hue image segmentation method has the ability not only to detect the hottest pattern but also to identify the fault based on the motor temperature as illustrated in the figures shown below. In addition, the difference between the bearing faults was obvious based on the Hue image segmentation due to their effect on the motor rotation behavior. The proposed Hue image segmentation is better than grayscale image segmentation as the latter method is prone to lose most of the image information after the conversion. Hence, in this paper the Hue image segmentation was used to obtain as much information as possible in order to be used for detecting the motor faults accurately.

After various segmentation techniques were applied on all motor thermal images, the next step was to calculate the image matrices,

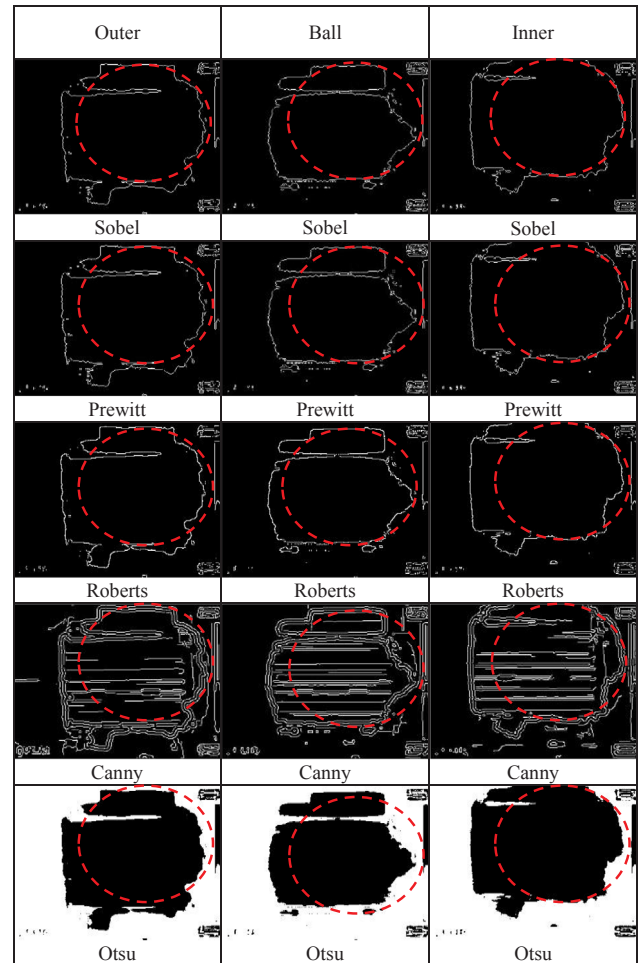


Fig. 8. Thermal image segmentation for different bearing faults with no load.

which are mean, mse, psnr, variance, standard deviation, skewness and kurtosis, for each segmentation method in order to extract the best information from the image for the purpose of discriminating between the faults. All the comparisons were done based on the Hue region image as shown in Tables 7a and 7b.

According to the results given in Tables 7a and 7b, the image metrics methods based on the Hue region had good attributes to discriminate between the motor faults. For example, in case of healthy motor, the image segmentation metrics had similarities in values between load conditions; however, it was more helpful comparing it to other faults. It was noted that the highest mean in the healthy motor was 0.5305 with 50% load based on Otsu method compared to other methods, thus making it to be distinguishable for motor faults detection. Similarly, the highest mean was 0.5221, 0.5837 and 0.5266 for outer, ball and inner bearing defects with different load conditions respectively. Thus, the Otsu method was able to obtain satisfactory results for motor fault detection comparing to other methods (Sobel, Prewitt, Roberts, Canny) as shown in the Tables 7a and 7b.

In addition, the Canny edge detection technique obtained very good results based on the MSE and PSNR as the larger MSE and smaller PSNR was different compared to other methods as shown in Tables 7a and 7b. The above results show that the proposed method was able to extract good features from the image for motor fault detection.

Furthermore, the variance and the standard deviation measures were nearly similar values, as the standard deviation is the square root of variance. Therefore, their values were less helpful to detect the motor faults due to their overlap with other features, which may lead to misclassification of the faults. However, comparing to other methods

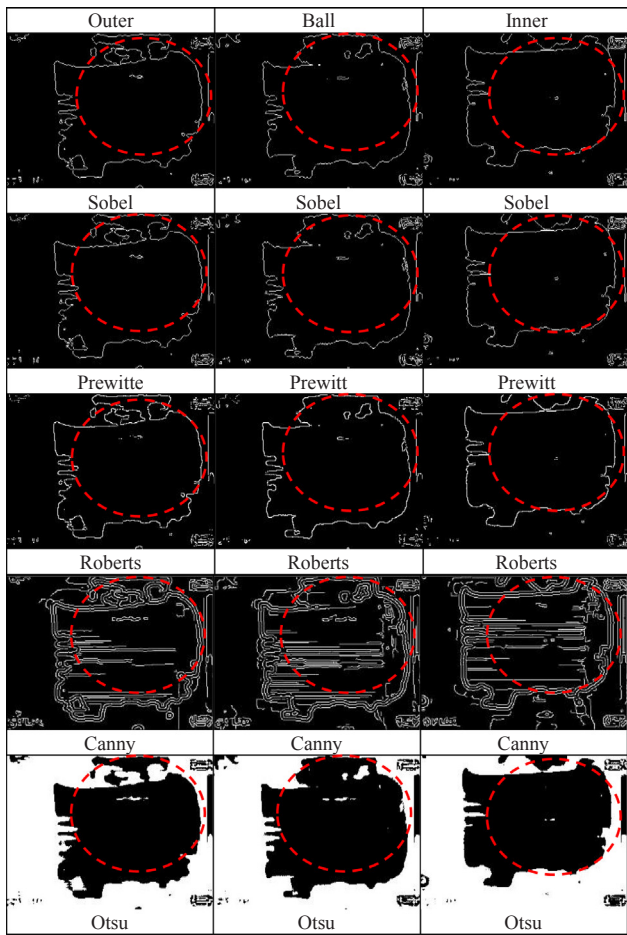


Fig. 9. Thermal image segmentation for different bearing faults with 50% load.

such as the MSE and PSNR, these values were very helpful for induction motor fault detection as they could help the operators to identify the motor faults in an early stage to prevent any potential problems occurring much later.

In terms of skewness and Kurtosis, the values obtained for skewness for all motor conditions were supportive as they indicate the normality and modelled distribution of the motor dataset. Hence, the higher the skewness the higher the skewness of the data. In addition, the skewness values for all induction motor conditions were recorded at values higher than -0.5 and lower than 7 which means that the data were moderately skewed. While the best Kurtosis values were obtained by Otsu method because the optimal value of kurtosis is 3 and the Otsu method achieved the best results among all the other methods as shown in Tables 7ba and 7b. The results have shown that the image segmentation based on the Hue image is capable of obtaining very satisfactory results for identifying the faults severity in the induction motors. As stated in the literature, most of the researchers have adopted the grayscale colour model for identifying the electrical faults. However, the proposed Hue colour model was able to extract very good features for motor fault identification without using any classifier. Furthermore, the image metrics were very helpful for extracting the best information from the segmented Hue image necessary to recognize the motor fault correctly.

Consequently, the results have indicated that some of the proposed image segmentation methods and metrics were very helpful and have significant discriminatory features to not only detecting the fault but also for diagnosing it. These calculations have also shown that though they were able to detect the faults there were difficulties in making a distinction between the motor load conditions. In this case, the problem

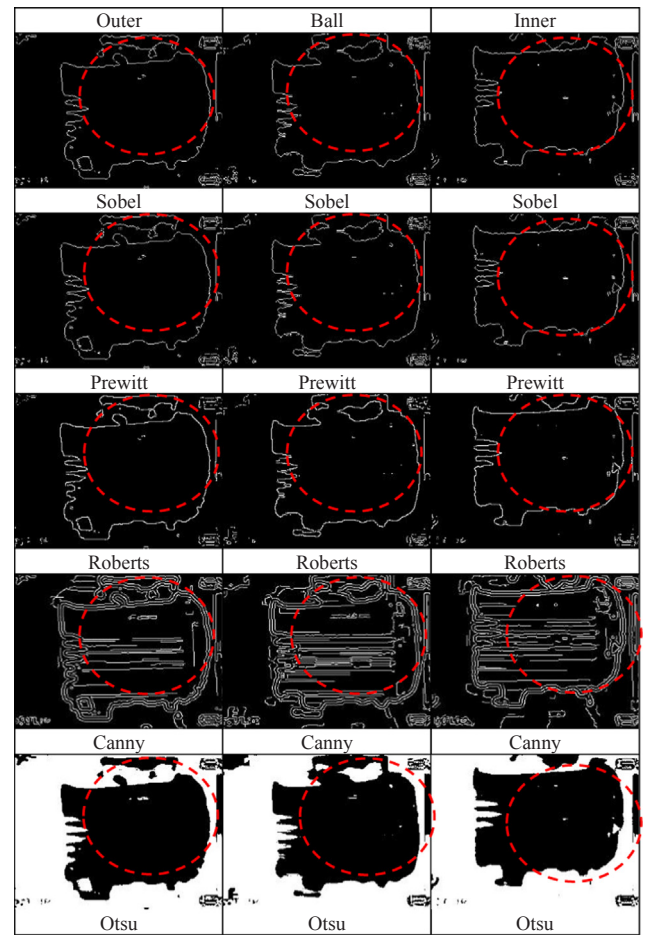


Fig. 10. Thermal image segmentation for different bearing fault with 100% load.

was overcome as long as the motor fault was detected and diagnosed correctly, and there was no need to have much information about the load. Overall, the Canny and Otsu methods were able to obtain better results than other methods and they could be used for fault detection based on the proposed HSV colour model. Thus, the proposed image segmentation methods were successfully applied and the results have made a positive impact on the use of induction motor and its fault detection and identification.

4. Conclusion

In this study, thermal image condition monitoring has been used for induction motor fault detection based on the HSV colour model and different image segmentation methods such as Sobel, Prewitt, Roberts, Canny and Otsu have been presented. The thermal image with Hue region has been used for fault detection as it has the ability to detect the image's hottest region. Then, different image matrices have been applied to the Hue image in order to extract the best fault information. Three different induction motor faults have been investigated with the aim of testing the ability of the proposed techniques for the detection of motor faults such as outer race, inner race and ball bearing defects with different load conditions based on thermal condition monitoring. The main advantage of the proposed technique is that it has the ability to detect and identify the motor faults accurately with less time and computational complexity. While the main disadvantage is that it is not able to detect or identify the motor load, which is normally not a big issue as the motor fault detection is more important than identifying whether the motor has been loaded or not. Finally, the proposed HSV

Table 7ba
Image metrics values for the thermal images.

Image Metrics	Fault type										
	Load	Healthy motor					Outer bearing defect				
		S	P	R	C	O	S	P	R	C	O
Mean	0%	0.0333	0.0330	0.0339	0.1096	0.3409	0.0240	0.0246	0.0260	0.0958	0.5221
	50%	0.0241	0.0247	0.0272	0.1131	0.5305	0.0285	0.0283	0.0323	0.0927	0.5179
	100%	0.0256	0.0259	0.0283	0.0880	0.5270	0.0274	0.0274	0.0308	0.0948	0.5160
MSE	0%	0.3554	0.3551	0.3568	0.3951	0.0204	0.3730	0.3731	0.3741	0.3880	0.0394
	50%	0.3865	0.3863	0.3895	0.4069	0.0408	0.3838	0.3841	0.3835	0.3947	0.0430
	100%	0.3821	0.3820	0.3863	0.3944	0.0413	0.3815	0.3808	0.3808	0.3926	0.0432
PSNR	0%	50.3770	50.3793	50.3684	50.1473	56.5785	50.2722	50.2716	50.2658	50.1864	55.1558
	50%	50.1948	50.1962	50.1780	50.0836	55.0775	50.2103	50.2088	50.2118	50.1495	54.9617
	100%	50.2197	50.2206	50.1964	50.1512	55.0526	50.2231	50.2274	50.2274	50.1611	54.9548
Variance	0%	0.0322	0.0320	0.0328	0.0976	0.2247	0.0234	0.0240	0.0253	0.0866	0.2495
	50%	0.0235	0.0241	0.0265	0.1003	0.2491	0.0277	0.0275	0.0313	0.0841	0.2497
	100%	0.0249	0.0252	0.0275	0.0802	0.2493	0.0266	0.0266	0.0298	0.0858	0.2497
SD	0%	0.1794	0.1788	0.1810	0.3124	0.4740	0.1531	0.1549	0.1590	0.2943	0.4995
	50%	0.1532	0.1553	0.1627	0.3167	0.4991	0.1664	0.1659	0.1769	0.2900	0.4997
	100%	0.1579	0.1588	0.1660	0.2833	0.4993	0.1632	0.1632	0.1728	0.2929	0.4997
Skew	0%	5.2028	5.2244	5.1505	2.4988	0.6714	6.2187	6.1403	5.9617	2.7468	-0.0884
	50%	6.2115	6.1193	5.8115	2.4428	-0.1221	5.6669	5.6882	5.2880	2.8084	-0.0716
	100%	6.0108	5.9731	5.6839	2.9093	-0.1080	5.7905	5.7935	5.4318	2.7671	-0.0640
Kurtosis	0%	28.0693	28.2939	27.5278	7.2442	1.4507	39.6727	38.7027	36.5417	8.5449	1.0078
	50%	39.5826	38.4459	34.7739	6.9672	1.0149	33.1134	33.3553	28.9634	8.8871	1.0051
	100%	37.1296	36.6773	33.3066	9.4640	1.0117	34.5296	34.5643	30.5049	8.6569	1.0041

MSE = Mean Squire Error, PSNR = Peak to Signal Noise Ratio, SD = Standard Deviation, S = Sobel, P = Prewitt, R = Roberts, C = Canny, O = Otsu.

color model has been able to successfully detect the induction motor fault based on the Hue region and extract best features which could be used for further processing. The future work will focus on how to use these features in conjunction with a classification system to classify the faults.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Table 7a
Image metrics values for the thermal images.

Image Metrics	Fault type										
	Load	Ball bearing defect					Inner race bearing defect				
		S	P	R	C	O	S	P	R	C	O
Mean	0%	0.0261	0.0267	0.0266	0.1032	0.5837	0.0212	0.0224	0.0229	0.0989	0.4943
	50%	0.0246	0.0247	0.0269	0.1075	0.4884	0.0229	0.0233	0.0249	0.1025	0.5170
	100%	0.0268	0.0272	0.0291	0.1127	0.4986	0.0240	0.0243	0.0268	0.1041	0.5266
MSE	0%	0.5295	0.5284	0.5326	0.5571	0.0176	0.4300	0.4295	0.4342	0.4606	0.0191
	50%	0.3541	0.3545	0.3565	0.3841	0.0392	0.3666	0.3661	0.3703	0.3874	0.0416
	100%	0.3633	0.3630	0.3686	0.3959	0.0409	0.3738	0.3736	0.3777	0.3940	0.0431
PSNR	0%	49.5116	49.5160	49.4988	49.4011	56.8995	49.9636	49.9662	49.9426	49.8143	56.7234
	50%	50.3851	50.3828	50.3704	50.2088	55.1647	50.3101	50.3128	50.2878	50.1900	55.0338
	100%	50.3294	50.3310	50.2979	50.1428	55.0722	50.2676	50.2686	50.2449	50.1531	54.9606
Variance	0%	0.0254	0.0260	0.0259	0.0925	0.2430	0.0208	0.0219	0.0224	0.0892	0.2500
	50%	0.0240	0.0241	0.0262	0.0960	0.2499	0.0223	0.0228	0.0243	0.0920	0.2497
	100%	0.0261	0.0265	0.0283	0.1000	0.2500	0.0234	0.0237	0.0261	0.0933	0.2493
SD	0%	0.1595	0.1612	0.1610	0.3042	0.4930	0.1441	0.1480	0.1495	0.2986	0.5000
	50%	0.1548	0.1551	0.1618	0.3098	0.4999	0.1494	0.1510	0.1558	0.3033	0.4997
	100%	0.1615	0.1628	0.1681	0.3163	0.5000	0.1531	0.1541	0.1615	0.3054	0.4993
Skew	0%	5.9440	5.8713	5.8822	2.6095	-0.3395	6.6436	6.4555	6.3823	2.6863	0.0229
	50%	6.1420	6.1280	5.8497	2.5341	0.0466	6.3862	6.3151	6.0986	2.6216	-0.0681
	100%	5.8589	5.8085	5.6015	2.4492	0.0058	6.2169	6.1756	5.8589	2.5922	-0.1067
Kurtosis	0%	36.3306	35.4721	35.6003	7.8094	1.1153	45.1377	42.6735	41.7337	8.2163	1.0005
	50%	38.7242	38.5525	35.2185	7.4216	1.0022	41.7835	40.8805	38.1924	7.8726	1.0046
	100%	35.3268	34.7388	32.3766	6.9984	1.0000	39.6501	39.1379	35.3267	7.7197	1.0114

MSE = Mean Squire Error, PSNR = Peak to Signal Noise Ratio, SD = Standard Deviation, S = Sobel, P = Prewitt, R = Roberts, C = Canny, O = Otsu.

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