$\mbox{IRE1}\alpha\mbox{-targeting Downregulates ABC Transporters and Overcomes Drug Resistance of Colon Cancer Cells$ 

Qiang Gao, Xiu-xiu Li, Yi-ming Xu, Jin-zhao Zhang, Shi-di Rong, Yan-qing Qin, Jing Fang

PII: S0304-3835(20)30069-0

DOI: https://doi.org/10.1016/j.canlet.2020.02.007

Reference: CAN 114689

To appear in: Cancer Letters

Received Date: 25 September 2019

Revised Date: 6 February 2020

Accepted Date: 7 February 2020

Please cite this article as: Q. Gao, X.-x. Li, Y.-m. Xu, J.-z. Zhang, S.-d. Rong, Y.-q. Qin, J. Fang, IRE1αtargeting Downregulates ABC Transporters and Overcomes Drug Resistance of Colon Cancer Cells, *Cancer Letters*, https://doi.org/10.1016/j.canlet.2020.02.007.

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#### Abstract

Drug resistance is a big problem in cancer treatment and one of the most prominent mechanisms underlain is overexpression of ATP-binding cassette (ABC) transporters, particularly ABCB1, ABCC1 and ABCG2. Inhibition of ABC transporters is an important approach to overcome drug resistance. The inositol-requiring enzyme 1 $\alpha$  (IRE1 $\alpha$ ), an arm of unfolded protein response (UPR), splices *XBP1* mRNA to generate an active transcription factor XBP1s. UPR is implicated in drug resistance. However, the underlying mechanism is unclear. We found that the anticancer drugs such as 5-fluorouracil (5-FU) activated the IRE1 $\alpha$ -XBP1 pathway to induce the expression of ABCB1, ABCC1 and ABCG2 in colon cancer cells. Inhibition of IRE1 $\alpha$  RNase activity with small molecule 4 $\mu$ 8c suppressed the drug-induced expression of these ABC transporters and sensitized 5-FU-resistant colon cancer cells to drug treatment. *In vivo* xenograft assay indicates that administration of 4 $\mu$ 8C substantially enhanced the efficacy of 5-FU chemotherapy on 5-FU-resistant colon cancer cells. These results suggest that IRE1 $\alpha$ -targeting might be a strategy to cope with drug resistance of colon cancer.

Keywords: IRE1a; ABC transporter; Drug resistance; Colon cancer.

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# IRE1α-targeting Downregulates ABC Transporters and Overcomes Drug Resistance of Colon Cancer Cells

Qiang Gao<sup>1</sup>, Xiu-xiu Li<sup>1</sup>, Yi-ming Xu<sup>1</sup>, Jin-zhao Zhang<sup>1</sup>, Shi-di Rong<sup>1</sup>, Yan-qing Qin<sup>1</sup> and Jing Fang<sup>2,3,\*</sup>

<sup>1</sup> Shanghai Institute of Nutrition and Health, Shanghai Institutes of Biological Sciences, University of Chinese Academy of Sciences, Chinese Academy of Sciences, Shanghai 200031.

<sup>2</sup> Cancer Institute, the Affiliated Hospital of Qingdao University, Qingdao, 266061;

<sup>3</sup>Cancer Institute, Qingdao University, Qingdao, 266061.

Running title: IRE1a promotes cancer drug resistance via ABC transporters

\* Corresponding author: Dr. Jing Fang. Email: jfang2018@163.com; jfang@sibs.ac.cn.

## Funding

This work was supported by Science and Technology Commission of Shanghai Municipality Grant (16JC1406100), Ministry of Science and Technology of China (2017YFD0400206) and National Natural Science Foundation of China (31470769).

### Disclosure of potential conflicts of interest

The authors declare that there are no potential conflicts of interest.

#### Abstract

Drug resistance is a big problem in cancer treatment and one of the most prominent mechanisms underlain is overexpression of ATP-binding cassette (ABC) transporters, particularly ABCB1, ABCC1 and ABCG2. Inhibition of ABC transporters is an important approach to overcome drug resistance. The inositol-requiring enzyme 1 $\alpha$  (IRE1 $\alpha$ ), an arm of unfolded protein response (UPR), splices *XBP1* mRNA to generate an active transcription factor XBP1s. UPR is implicated in drug resistance. However, the underlying mechanism is unclear. We found that the anticancer drugs such as 5-fluorouracil (5-FU) activated the IRE1 $\alpha$ -XBP1 pathway to induce the expression of ABCB1, ABCC1 and ABCG2 in colon cancer cells. Inhibition of IRE1 $\alpha$  RNase activity with small molecule 4 $\mu$ 8c suppressed the drug-induced expression of these ABC transporters and sensitized 5-FU-resistant colon cancer cells to drug treatment. *In vivo* xenograft assay indicates that administration of 4 $\mu$ 8C substantially enhanced the efficacy of 5-FU chemotherapy on 5-FU-resistant colon cancer cells. These results suggest that IRE1 $\alpha$ -targeting might be a strategy to cope with drug resistance of colon cancer.

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#### 1. Introduction

Cancers have the ability to develop resistance to chemotherapy, and the increasing prevalence of these drug resistant cancers necessitates further research and treatment development. Multidrug resistance is a phenomenon by which, after exposure to a chemotherapeutic agent, cancer cells develop resistance, and simultaneous cross-resistance, to a wide range of functionally and structurally unrelated chemotherapeutic drugs (1, 2). Intrinsic or acquired multidrug resistance is one of the main reasons for chemotherapy failure, leading to the recurrence of malignant tumors and ultimately, patient relapse or death (3). A variety of mechanisms have been attributed to multidrug resistance, such as enhanced drug efflux, increased DNA damage repair, reduced apoptosis, elevated autophagy, and/or altered drug metabolism (4-6).

Previous studies have confirmed that multidrug resistance both in cancer cell lines and human tumor tissues is most often associated with the overexpression of the ATP-binding cassette (ABC) transporters (4, 7, 8). These ABC transporters are efflux pumps that transport various structurally unrelated and potentially dangerous substances out of the cells. They hydrolyze ATP for energy and actively pump drug components out of cells, leading to drug resistance. In these transporters, ABCB1 (also known as MDR1 or P-gp), ABCC1 (MRP1) and ABCG2 (BCRP1) play an important role in drug resistance *in vivo* (4, 7). Developing inhibitors of ABC transporters is an important approach to overcoming drug resistance. Extensive efforts have been made to develop inhibitors targeting ABC transporters, particularly ABCB1. However, no such agents have been developed successfully as was initially hoped. Inhibition of expression of these ABC transporters is also an effective approach to overcome drug resistance of cancer cells (9).

The unfolded protein response (UPR) is a cellular stress response related to the endoplasmic reticulum (ER). It allows cells to manage ER stress resulting from accumulation of unfolded and/or misfolded proteins in the lumen of ER (10). Three ER-localized proteins, inositol-requiring enzyme 1 (IRE1 $\alpha$ ), pancreatic ER kinase (PERK), and activating transcription factor 6 (ATF6) constitute the three arms of the UPR to resolve ER stress. IRE1 $\alpha$  is the most evolutionally conserved among the three UPR arms (11, 12). IRE1 $\alpha$  is ubiquitously expressed and possesses both endoribonuclease and protein kinase activities. Upon activation, IRE1 $\alpha$  removes a 26-bp nucleotide intron from the mRNA encoding X-box binding protein (XBP) 1 to generate a spliced active form of this transcription factor (XBP1s). XBP1s controls the expression of genes involved in protein folding, ER-associated degradation, protein quality control and phospholipid synthesis (13).

Sustained activation of the UPR has been implicated in cancers (14, 15), and it is believed to contribute to oncogenic processes (16, 17). Chemotherapeutic agents trigger ER stress (18, 19). UPR is activated in response to ER stress and it may play an important role in tumor chemotherapy resistance (14, 20, 21). Inhibition of GRP78, an unfolded protein response regulator, could enhance the sensitivity of malignant gliomas (22), breast cancer cells (23) and renal cell carcinoma cells (24) to drugs. While, GRP78 overexpression was found to promote drug resistance of cancer cells (22, 25). Activation of ATF4, a downstream target of PERK, led to multidrug resistance (26, 27). A recent study showed that XBP1 was upregulated in tamoxifen-insensitive breast cancer MCF-7 cells and inhibition of XBP1 splicing reestablished tamoxifen sensitivity to tamoxifen-resistant MCF-7 cells (28), suggest

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that the IRE1 $\alpha$ -XBP1 axis is involved in tamoxifen resistance of breast cancer cells. Though the UPR contributes to drug resistance of cancers, the underlying mechanism remains unclear.

Drug resistance is an obstacle to a successful chemotherapy for cancers including colon cancer. Colon cancer is the third most common malignancy worldwide (29). Chemotherapy is a standard treatment for this disease (30) and drug resistance develops in nearly all patients with colon cancer (31). Upregulated expression of ABC transporters such as ABCB1, ABCC1 and ABCG2 is one of the most commonly observed mechanisms contributing to drug resistance in colon cancer cells (32). To data, there are little efficient methods to overcome drug resistance of colon cancer. Hence, studying the underlying mechanism and developing new effective approach to overcoming drug resistance are urgently needed. Here we report that the anticancer drugs upregulated the expression of ABCB1, ABCC1 and ABCG2 through IRE1 $\alpha$ -XBP1 axis in colon cancer cells. Inhibition of IRE1 $\alpha$  RNase activity with small molecule 4 $\mu$ 8C inhibited the drug-induced expression of these ABC transporters and enhanced efficacy of drug chemotherapy on 5-FU-resistant colon cancer cells. Our results suggest that targeting of IRE1 $\alpha$  is an approach to overcome drug resistance of colon cancer.

# 2. Materials and Methods

#### 2.1. Cell culture and reagents

Human colon cancer cells RKO and HCT116 were grown in DMEM and M5A medium, respectively. Human embryonic kidney 293T cells were cultured in DMEM medium. All medium were supplemented with 10% fetal bovine serum, 100 u/ml penicillin, and 100 µg/ml

streptomycin. The cells were cultured at 37°C in a 5% CO<sub>2</sub> incubator. 5-FU was a product from Sigma. Capecitabine and Oxaliplatin were from Selleck and MedChemExpress, respectively. The IRE1 $\alpha$  RNase inhibitor 4 $\mu$ 8C was a product of Selleck Chemicals. To establish 5-FU-resistant colon cancer cells, the cells were incubated initially in medium containing 5-FU at 0.25  $\mu$ g/ml (1.92  $\mu$ M). The concentration of 5-FU was gradually increased till 5-FU reached at 8  $\mu$ M for RKO cells and 30  $\mu$ M for HCT116 cells.

#### 2.2. Antibodies

Antibodies against IRE1α(14C10) ABCB1(E1Y7B) and ABCC1(D5C1X) were from Cell signaling Technology. ABCG2(ab108312) and XBP1 antibodies were was from Abcam and BioLegend, respectively. Beta-actin(A3854) antibody was a product of Sigma.

# 2.3. Quantitative real-time PCR (qPCR)

qPCR was performed as described (33). Beta-actin was used as the internal control. The primers used in this work are as follows.

*ABCB1*: 5'AAGCCACGTCAGCTCTGGAT3'(F); 5'CTGCATTCTGGATGGTGGAC3'(R); *ABCC1*: 5'CACGACGCCTTCATGTTCTC3'(F), 5'GGCTGGACAGGAGGAACAAC3'(R); *ABCG2*: 5'AGCAGCAGGTCAGAGTGTGG3'(F), 5'CTTGAAGCCATGACAGCCAAG3'(R); *IRE1* $\alpha$ : 5'CTCCACTCCCTCAACATCGT3'(F), 5'CTTCTTGCAGAGGCCAAAGT3'(R); *XBP1*: 5'CTTGTAGTTGAGAACCAGGAGT3'(F), 5'CCCAACAGGATATCAGACTCTG3'(R); *β-actin*: 5'GATCATTGCTCCTCCTGAGC3'(F), 5'ACTCCTGCTTGCTGATCCAC3'(R).

## 2.4. Short hairpin RNA (shRNA) and small interference RNA (siRNA)

To inhibit the expression of human IRE1 $\alpha$ , siRNA oligos or shRNA knockdown viruses were employed. The pLKO.1 vector was used to construct shRNA virus against human IRE1 $\alpha$ . To

construct the hairpin siRNA expression cassette, complementary DNA nucleotides of IRE1 $\alpha$ RNA interference were synthesized, annealed and inserted into pLKO.1. The control virus has a scrambled sequence. The targeting sequence for IRE1 $\alpha$  and scrambled sequence are as

described (34). The sequences of siRNA oligos against IRE1 $\alpha$  are as follows:

siIRE1α-1: 5'GCGUAAAUUCAGGACCUAU3';

siIRE1α-2: 5'GGAGAGAAGCAGCAGACUU3';

siXBP1-1: 5'GGAACAGCAAGUGGUAGAUTT3';

siXBP1-2: 5'CCAGUCAUGUUCUUCAAAUTT3';

Control: 5'UUCUCCGAACGUGUCACGUTT3'.

#### 2.5. Vector construction

The *ABCB1* promoter sequence from 793 bp upstream to 113 bp downstream of the human *ABCB1* gene transcription start site (-793 to +113) was cloned by genomic PCR using human genomic DNA as a template. The *ABCB1* promoter (-793~+113) luciferase reporter plasmid (ABCB1-Luc) was constructed into pGL3 vector (Promega). A series of mutated ABCB1-Luc plasmids derived from ABCB1-Luc were also constructed. The construct encoding XBP1s was generously provided by Dr Yong Liu at Wuhan University (35).

#### 2.6. Chromatin immunoprecipitation (ChIP) assay

ChIP assay was carried out with Millipore EZ-ChIP<sup>TM</sup> kit (Upstate) according to the manufacturer's instruction. The XBP1-specific antibody was used for the ChIP assay. qPCR was done to quantitate the ChIP-enriched DNA. Two pairs of primers were used. The primers for *ABCB1* promoter enrichment are as follows.

(1) 5'ACAGAATTGGAGAGGTCGGAGT3'(F), 5'GGGCAAGTAGAGAAACGCGC3'(R);

#### (2) 5'CCTGGAAAAAACACGGGCATTGA3'(F), 5'GGTCTTTCTTCAGCATGCTTGACA3'(R).

#### 2.7. Cell viability assay

Colon cancer cells were plated in 96-well plates (3000/well). The next day, anticancer drug was added and the cells were incubated for indicated time. The cells were stained for 4 h with thiazolyl blue tetrazolium bromide (MTT) dissolving in DMSO. The OD at 570 nm was read and cell viability was calculated as a ratio of OD values of drug-treated samples to those of controls. The cell viability of control cells at 24 h was designated as 1.

#### 2.8. Xenograft growth of colon cancer cells

*In vivo* xenograft growth was performed as described (36). In brief, the 4-week-old male nude mice (BALB/cA-nu/nu) from Shanghai Experimental Animal Center were maintained in pathogen-free conditions. The mice were subcutaneously injected at each flank with colon cancer cells ( $3 \times 10^6$ ). Tumor volumes were measured with a caliper and calculated using the following equation: volume =  $a \times b^2 \times 0.5326$ , where a is the longer dimension, and b is the shorter one. All animals were maintained and used in accordance with the guidelines of the Institutional Animal Care and Use Committee of the Institute of Nutrition and Health.

## 2.9. Statistic analysis

Statistical analysis was made using the unpaired two-tailed Student's *t*-test or two-way analysis of variance (ANOVA) with GraphPad Prism 5.0. The data are mean  $\pm$  standard deviation (SD). *P* value < 0.05 is considered statistically significant.

## 3. Results

### 3.1. 5-FU induces ABCB1 expression and stimulates XBP1 splicing

5-FU-resistant colon cancer RKO (RKO/5-FU/R) cells were selected as described in Methods. We found that the transcript level of *ABCB1* was elevated in RKO/5-FU/R cells, as compared to parent RKO cells (Fig. 1A). Immunoblotting shows that the protein level of ABCB1 was also increased in RKO/5-FU/R cells (Fig. 1B). We treated RKO cells with 5-FU and found that both mRNA (Fig. 1C) and protein levels (Fig. 1D) of ABCB1 were increased. We also treated colon cancer HCT116 cells with 5-FU and similar results were obtained (Fig. 1C and D). These results imply that 5-FU induces the expression of ABCB1.

Interestingly, we found that the splicing of XBP1 was substantially enhanced in RKO/5-FU/R cells (Fig. 1E). We treated RKO and HCT116 cells with 5-FU and determined XBP1 splicing (Fig. 1F). The results show that 5-FU treatment also enhanced the splicing of XBP1 in these cells (Fig. 1F). These results suggest that 5-FU activates IRE1α.

### 3.2. IRE1 $\alpha$ is involved in 5-FU-induced expression of ABCB1

The aforementioned results show that 5-FU induced ABCB1 expression and activated IRE1 $\alpha$  (Fig. 1). This drove us to determine whether IRE1 $\alpha$  was involved in the induction of ABCB1 by 5-FU. To this end, we employed 4 $\mu$ 8C, a specific inhibitor of IRE1 $\alpha$  RNase in our work (37). We found that 4 $\mu$ 8C treatment decreased the expression of ABCB1 in RKO/5-FU/R cells (Fig. 2A). This inhibitor also blocked the induction of ABCB1 in RKO cells treated with 5-FU for 48 hr (Fig. 2B). These results imply that IRE1 $\alpha$  is involved in the induction of ABCB1 by 5-FU. To solidify this, we inhibited the expression of IRE1 $\alpha$  by means of RNA interference. We found that knockdown of IRE1 $\alpha$  decreased ABCB1 in RKO/5-FU/R cells (Fig. 2C) and inhibited the induction of ABCB1 in RKO cells treated with 5-FU for 48 hr (Fig. 2D). These data suggest that IRE1 $\alpha$  is required for 5-FU-induced expression of ABCB1.

#### 3.3. XBP1s is a transcription factor of ABCB1

Upon activation, IRE1 $\alpha$  splices XBP1 mRNA to produce an active transcription factor XBP1s. Our data show that 5-FU stimulated the splicing of XBP1 and enhanced the transcript level of *ABCB1* (Fig. 1). We therefore conjectured that 5-FU might activate the expression of ABCB1 through XBP1s. To know this, we treated RKO cells with 5-FU and determined the effect of knockdown of XBP1 on ABCB1 induction. The results show that knockdown of XBP1 prevented 5-FU from inducing the expression of ABCB1 (Fig. 3A), implying that 5-FU induces the expression of ABCB1 through XBP1s. We found that overexpression of XBP1s induced the expression of ABCB1 (Fig. 3B), providing an evidence that XBP1s acts as a transcription factor of ABCB1.

To investigate whether XBP1s is a transcription factor of *ABCB1*, we constructed an *ABCB1* promoter luciferase reporter plasmid ABCB1(–793–113)-Luc (Fig. 3C). We found that overexpression of XBP1s stimulated the luciferase activities of this reporter in RKO, HCT116 and 293T cells (Fig. 3D). Subsequently, we constructed three truncated *ABCB1* promoter luciferase reporter vectors ABCB1(–606–113)-Luc, ABCB1(–402–113)-Luc and ABCB1(–166–113)-Luc (Fig. 3C). Overexpression of XBP1s stimulated significantly the activities of ABCB1(–606–113)-Luc and ABCB1(–402–113)-Luc reporters (Fig. 3E). When the ABCB1(–166–113)-Luc reporter was examined, overexpression of XBP1s had minor effect on its luciferase activity. These results suggest that the XBP1s binding sites are possibly located within the region of –793–167. We analyzed this region and found that it had three sites (<sup>-601</sup>ACGT<sup>-598</sup>, <sup>-307</sup>ACGT<sup>-304</sup> and <sup>-260</sup>ACGT<sup>-257</sup>) that were similar to XBP1s DNA binding motif (38). We constructed ABCB1(–793–167)-Luc reporter (Fig. 3F) and found

that overexpression of XBP1s stimulated the luciferase activity of this reporter (Fig. 3G). Then we constructed mutated ABCB1(-793--167)-Luc reporter plasmids at the possible XBP1s binding site (ACGT $\rightarrow$  ACTT). Overexpression of XBP1s stimulated the activities of ABCB1(-793--167)-Luc reporter with single (G<sup>-599</sup>T, G<sup>-305</sup>T or G<sup>-258</sup>T) or double mutations (G<sup>-599</sup>T/G<sup>-305</sup>T) (Fig. 3G). If all these three sites were mutated (G<sup>-599</sup>T/G<sup>-305</sup>T/G<sup>-258</sup>T), overexpression of XBP1s could not activate the reporter activity (Fig. 3G). These results suggest that XBP1s may bind these sites to activate *ABCB1* transcription.

We found that 5-FU treatment stimulated ABCB1 luciferase reporter activity which was suppressed by 4µ8C (Fig. 3H). We also did ChIP assay in 293T and HCT116 cells to solidify that XBP1s could bind the *ABCB1* promoter. The immunoprecipitation experiment was performed using XBP1s antibody or IgG. The *ABCB1* promoter was amplified by two pairs of primer. We found that immunoprecipitation by XBP1s antibody substantially enriched *ABCB1* promoter, but not by the IgG control antibody (Fig. 3I). These results imply that XBP1s binds *ABCB1* promoter.

Taken together, our results suggest that 5-FU induces the expression of ABCB1 through IRE1 $\alpha$ /XBP1 axis.

## 3.4. 5-FU induces the expression of ABCC1 and ABCG2 through IRE1 &-XBP1 axis

The ABC transporters ABCC1 and ABCG2 also play a crucial role in drug-resistance (4, 7). We found that ABCC1 and ABCG2 were also upregulated in RKO/5-FU/R cells (Fig. 4A). We treated RKO and HCT116 cells with 5-FU for 48 hr and found that the expression of ABCC1 and ABCG2 was increased (Fig. 4B). The results indicate that 5-FU induces not only ABCB1 but also ABCC1 and ABCG2.

We determined whether 5-FU induced ABCC1 and ABCG2 through IRE1 $\alpha$ -XBP1 axis. Inhibition of IRE1 $\alpha$  with 4 $\mu$ 8C decreased the expression of ABCC1 and ABCG2 in RKO/5-FU/R cells (Fig. 4C). This inhibitor also suppressed 5-FU-induced expression of ABCC1 and ABCG2 in RKO cells (Fig. 4D). Knockdown of IRE1 $\alpha$  decreased ABCC1 and ABCG2 in RKO/5-FU/R cells (Fig. 4E) and repressed the induction of ABCC1 and ABCG2 by 5-FU in RKO cells (Fig. 4F). These data suggest that 5-FU induces the expression of ABCC1 and ABCG2 through IRE1 $\alpha$ . To know whether XBP1 is involved in the induction of these two transporters by 5-FU, we knocked down XBP1. We found that knockdown of XBP1 prevented 5-FU from inducing ABCC1 and ABCG2 (Fig. 4G). Together, these results suggest that 5-FU induces the expression of ABCC1 and ABCG2 through IRE1 $\alpha$ .

#### 3.5. Capecitabine and oxaliplatin induce the expression of ABCB1, ABCC1 and ABCG2

Our results show that 5-FU induced the expression of ABC transporters through IRE1 $\alpha$ -XBP1 axis. This drove us to determine whether other anticancer drugs had the similar effect. To know this, we examined capecitabine and oxaliplatin that were often used to treat colon cancers clinically. We treated RKO cells with these drugs and found that both capecitabine and oxaliplatin enhanced the splicing of XBP1, indicating that they activate IRE1 $\alpha$  (Fig. 5A). Treatment of RKO cells with these drugs induced the transcription of *ABCB1*, ABCC1 and *ABCG2* (Fig. 5B). And the induction of expression of these genes by 5-FU was suppressed by 4 $\mu$ 8C (Fig. 5B). These results suggest that capecitabine and oxaliplatin induce the expression of *ABCB1*, ABCC1 and *ABCG2* through IRE1 $\alpha$ .

#### 3.6. Inhibition of IRE1 $\alpha$ overcomes drug resistance

We determined the sensitivity of RKO and RKO/5-FU/R cells to capecitabine and oxaliplatin.

These cells were treated with capecitabine or oxaliplatin for different times and cell survival was determined by MTT assay. We found that the survivability of RKO/5-FU/R cells is much higher than that of RKO cells (Fig. 5C), implying that the 5-FU-resistant RKO cells also developed resistance to capecitabine and oxaliplatin.

We speculated that inhibition of IRE1 $\alpha$  might overcome drug resistance as IRE1 $\alpha$  was involved in the induction of ABCB1, ABCC1 and ABCG2 by drugs. To this end, we inhibited IRE1 $\alpha$  with 4 $\mu$ 8C and determined the survival of RKO/5-FU/R cells treated with 5-FU. As expected, 4 $\mu$ 8C decreased substantially the survival of the cells challenged with 5-FU (Fig. 5D). We then challenged RKO/5-FU/R cells with capecitabine and oxaliplatin and found that 4 $\mu$ 8C also sensitized the cells to these two drugs (Fig. 5E). These results suggest that inhibition of IRE1 $\alpha$  RNase activity enhances the efficacy of drugs chemotherapy on 5-FU-resistant cancer cells.

# 3.7. Inhibition of IRE1 $\alpha$ enhances 5-FU efficacy on 5-FU-resistant HCT116 cells in vivo Finally, we determined whether inhibition of IRE1 $\alpha$ could enhance the efficacy of chemotherapy on xenograft growth of drug-resistant colon cancer cells in nude mice. We employed 5-FU-resistant HCT116 cells (HCT116/5-FU/R) in our work. The HCT116/5-FU/R cells were selected as described in Methods. Compared to HCT116 cells, the 5-FU-resistant HCT116 cells had elevated splicing of XBP1 (Fig. 6A) and increased expression of ABCB1, ABCC1 and ABCG2 (Fig. 6B). Treatment of HCT116/5-FU/R cells with 4 $\mu$ 8C decreased the protein levels of ABCB1, ABCC1 and ABCG2 (Fig. 6C). Similar to RKO/5-FU/R cells, the HCT116/5-FU/R cells became sensitive to 5-FU in the presence of 4 $\mu$ 8C (Fig. 6D).

The HCT116/5-FU/R cells were implanted into nude mice at each flank. Tumors were

allowed to grow for 16 days before drug treatment. Drug administration to the mice was as described in Methods. Administration of 5-FU alone had minor inhibitory effect on xenograft growth (Fig. 6E). Administration of 4 $\mu$ 8C alone had moderate inhibitory effect. The combination of 5-FU and 4 $\mu$ 8C had a strong inhibitory effect on xenograft growth. These results suggest that inhibition of IRE1 $\alpha$  enhances the efficacy of 5-FU chemotherapy on tumor growth of 5-FU-resistant HCT116 cells *in vivo*. Administration of 4 $\mu$ 8C decreased the protein levels of ABCB1, ABCC1 and ABCG2 in tumors (Fig. 6F) and this might be an important reason why the HCT116/5-FU/R cells were sensitive to 5-FU treatment *in vivo*. A working model is proposed (Fig. 6G)

#### 4. Discussion

In this manuscript, we demonstrate that the anticancer drugs induce the expression of ABCB1, ABCC1 and ABCG2 through IRE1 $\alpha$ -XBP1 axis. Inhibition of IRE1 $\alpha$  RNase activity with 4 $\mu$ 8C enhanced efficacy of 5-FU chemotherapy on 5-FU-resistant colon cancer cells *in vitro* and *in vivo*. Our results suggest that the IRE1 $\alpha$  contributes drug resistance of colon cancer cells through upregulating these ABC transporters and IRE1 $\alpha$ -targeting might be a strategy to cope with drug resistance of cancers.

A few mechanisms have been attributed to drug resistance and the extrusion of anticancer drugs by ABC transporters is one of the most widely recognized one (2, 7, 39). UPR is also shown to contribute to drug resistance (14, 20, 21). However, the underlying molecular mechanism remains unclear. We found that the anticancer drug 5-FU, capecitabine and

oxaliplatin stimulated XBP1 splicing and induced the expression of *ABCB1*, *ABCC1* and *ABCG2*. The drug-induced expression of these genes was blocked by IRE1 $\alpha$  inhibitor 4 $\mu$ 8C. Our findings reveal a new mechanism underlying the regulation of expression of ABCB1, ABCC1 and ABCG2 by drugs.

Drug resistance is a key determinant of cancer chemotherapy failure and one of the major causes of drug resistance is the enhanced efflux of drugs by membrane ABC transporters. Targeting ABC transporters projects a promising approach to eliminating or suppressing drug resistance in cancer treatment (4). Extensive efforts have been made to target ABC transporters, particularly ABCB1, for overcoming drug resistance. However, no such inhibitors have been developed successfully for clinical use (40). Inhibition of expression of ABC transporters is another approach to cope with drug resistance of cancers. Our results show that inhibition of IRE1 $\alpha$  attenuated drug-induced expression of ABCB1, ABCC1 and ABCG2 (Fig. 2-5). Thus, targeting of IRE1 $\alpha$  might be a way to overcome drug resistance. In fact, our results show that inhibition of IRE1 $\alpha$  attenuated in vivo (Fig. 5 and 6). These results suggest that targeting of IRE1 $\alpha$  overcomes drug resistance through downregulating the expression of these ABC transporters.

It has been demonstrated that IRE1 $\alpha$  is involved in tumor progression (41). We reported recently that IRE1 $\alpha$  plays an important role in development of colon and liver cancers (34, 42). We found that inhibition of IRE1 $\alpha$  RNase activity inhibited xenograft growth of colon cancer cells (34) and loss of IRE1 $\alpha$  reduced the occurrence of diethylnitrosamine-induced hepatocellular carcinoma (42). Logue et al (43) demonstrated in triple-negative breast cancer

(TNBC) that IRE1 $\alpha$  RNase activity contributed paclitaxel-mediated expansion of tumor-initiating cells and inhibition of IRE1 $\alpha$  RNase activity increases paclitaxel- mediated tumor suppression in a xenograft model of TNBC. Zhao et al (44) showed in breast cancer cells that inhibition of IRE1 $\alpha$  RNase activity suppressed the MYC- overexpressing tumor growth *in vivo* and IRE1 $\alpha$ -targeting substantially enhanced the efficacy of docetaxel chemotherapy. These results suggest that IRE1 $\alpha$  contributes cancer development and it may serve as a target for cancer treatment.

In this manuscript, we have demonstrated that the anticancer drugs 5-FU, capecitabine and oxaliplatin activate IRE1 $\alpha$ -XBP1 axis to induce the expression of ABCB1, ABCC1 and ABCG2 in colon cancer cells. The IRE1 $\alpha$  RNase inhibitor 4 $\mu$ 8C suppressed the drug-induced expression of these ABC transporters and enhanced efficacy of drug treatment on drug-resistance colon cancer cells. Our results reveal the role and molecular mechanism of IRE1 $\alpha$ -XBP1 axis in drug resistance of colon cancer cells. These findings suggest that targeting of IRE1 $\alpha$  might be a strategy to cope with drug resistance of colon cancers.

#### Author contribution

QG, XL, Y X, JZ, SR, data acquisition; YQ, technique support; JF, work design, supervision and paper writing.

#### **Conflicts of interest statement**

The authors declare that there are no potential conflicts of interest.

#### Acknowledgements

This work was supported by Science and Technology Commission of Shanghai Municipality Grant (16JC1406100), National Natural Science Foundation of China (31470769) and Ministry of Science and Technology of China (2017YFD0400206).

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#### **Figure legends**

# Figure 1. 5-FU induced ABCB1 expression and XBP1 splicing.

(A) Determination of the relative *ABCB1* mRNA levels in 5-FU-resistant RKO cells. (B) Determination of ABCB1 protein in 5-FU-resistant RKO cells. (C) Determination of relative *ABCB1* mRNA levels in RKO and HCT116 cells treated with 5-FU (0, 10, 20  $\mu$ M) for 48 hr. (D) Determination of ABCB1 protein levels in RKO and HCT116 cells treated with 5-FU (0, 10, 20  $\mu$ M) for 48 hr. (E) Determination of XBP1 splicing in RKO and RKO/5-FU-R cells by regular PCR. The primers used are 5'AGCAAGTGGTGGATTTGGAAGAAG3'(F) and 5'AGGGTCCAACTTGTCCAGAATG3'(R). (F) RKO and HCT116 cells were treated with 5-FU (10  $\mu$ M for 48 hr). \* P<0.05; \*\* P<0.01.

### Figure 2. 5-FU activates IRE1α-XBP1 signaling pathway.

(A) RKO/5-FU-R cells were treated with  $4\mu8C$  (20  $\mu$ M) for 24 hr. followed by determination

of mRNA (left panel) and protein (right panel) of ABCB1. (B) RKO cells were treated with 5-FU (20  $\mu$ M) or 5-FU (20  $\mu$ M) plus 4 $\mu$ 8C (20  $\mu$ M) for 48 hr, followed by determination of mRNA (left panel) and protein (right panel) of ABCB1. (C) RKO/5-FU-R cells were transfected with control or siIRE1 $\alpha$  oligos as indicated. After 48 hr, the cells were harvested for determination of mRNA (left panel) and protein (right panel) of ABCB1. (D) RKO cells were transfected with control or siIRE1 $\alpha$  oligos as indicated. After 24 hr, the cells were treated with 5-FU (20  $\mu$ M) for 48 hr, followed by qPCR (left panel) and westernblot (right panel). \*, P<0.05; \*\*, P<0.01; \*\*\*, P<0.001.

#### Figure 3. XBP1s is a transcription factor of ABCB1.

(A) RKO cells were transfected with siXBP1 oligos as indicated. After 24 hr, the cells were treated with 5-FU (20  $\mu$ M) for 48 hr followed by qPCR (left panel) and westernblot (right panel). (B) Overexpression of XBP1s induced the expression of ABCB1. (C) Construction of *ABCB1* promoter luciferase reporter plasmids. (D) RKO, HCT116 and 293T cells were transfected with ABCB1(–793–113)-Luc reporter with or without the vector encoding XBP1s. After 24 hr, the cells were harvested for luciferase activity assay. (E) 293T cells were transfected with ABCB1(–793–113)-Luc or truncated reporters with or without XBP1s vector. After 24 hr, the cells were harvested for luciferase activity assay. (F) Construction of ABCB1(–793–167)-Luc and mutated ABCB1(–793–167)-Luc reporter vectors. (G) 293T cells were transfected with ABCB1(–793–167)-Luc or mutated reporter vectors with or without XBP1s plasmid. After 24 hr, the cells were harvested for luciferase activity assay. (H) RKO cells were transected with ABCB1 luciferase reporter construct. After 24 h, 5-FU (20  $\mu$ M) or 5-FU+4 $\mu$ 8C (20  $\mu$ M) were added and the cells were incubated for another 24 h before being harvested for luciferase activity assay. (I) ChIP assay was performed in 293T and

HCT116 cells as described in Methods. \*, P<0.05; \*\*, P<0.01; \*\*\*, P<0.001.

#### Figure 4. 5-FU induces ABCC1 and ABCG2 via IRE1α-XBP1 axis.

(A) Expression of ABCC1 and ABCG2 in RKO and RKO/5-FU-R cells. Messenger RNA levels (left panel); Protein levels (right panel). (B) RKO and HCT116 cells were treated with 5-FU (0, 10, 20  $\mu$ M) for 48 hr. ABCC1 and ABCG2 mRNA (left panel) and protein levels (right panel). (C) RKO/5-FU/R cells were treated with 4 $\mu$ 8C (20  $\mu$ M) for 24 hr, followed by qPCR (left panel) and westernblot (right panel). (D) RKO cells were incubated with 5-FU (20  $\mu$ M) in the presence or absence of 4 $\mu$ 8C (20  $\mu$ M) for 48 hr, followed by qPCR (left panel) (E) RKO/5-FU/R cells were transfected with control or IRE1 $\alpha$  siRNA oligos. After 48 hr the cells were harvested for qPCR (left) and westernblot (right). (F) RKO cells were transfected with control or IRE1 $\alpha$  siRNA oligos. After 24 hr 5-FU (20  $\mu$ M) was added and the cells were incubated for another 48 hr. The cells were harvested for qPCR (left) and westernblot (right). (G) RKO cells were transfected with XBP1 siRNA oligos as indicated. After 24 hr, the cells were incubated with 5-FU (20  $\mu$ M) for 48 hr. \*, P<0.05; \*\*, P<0.01; \*\*\*, P<0.001.

### Figure 5. Inhibition of IRE1 $\alpha$ sensitizes RKO/5-FU/R cells to drug treatment.

(A) RKO cells were treated with capecitabine (10  $\mu$ M) or oxaliplatin (10  $\mu$ M) for 48 hr. XBP1 splicing was determined by regular PCR as described above. (B) RKO cells were treated with capecitabine (10  $\mu$ M) or oxaliplatin (10  $\mu$ M) in the presence or absence of 4 $\mu$ 8C (20  $\mu$ m) for 48 hr. The cells were harvested for determining the expression of *ABCB1*, *ABCC1* and *ABCG2* by qPCR. (C) RKO and RKO/5-FU/R cells were incubated in medium containing capecitabine (10  $\mu$ M) or oxaliplatin (10  $\mu$ M). Cell survival was determined by MTT assay. (D) RKO/5-FU/R cells were treated with 5-FU (8  $\mu$ M) in the presence or absence of 4 $\mu$ 8C.

Survival of the cells was determined as described above. (E) RKO/5-FU/R cells were treated with capecitabine (10  $\mu$ M) or oxaliplatin (10  $\mu$ M) in the presence or absence of 4 $\mu$ 8C. \*, P<0.05; \*\*, P<0.01.

### Figure 6. Inhibition of IRE1a sensitizes HCT116/5-FU/R cells to 5-FU in vivo.

(A) The splicing of XBP1 was increased in HCT116/5-FU/R cells. (B) Expression of ABCB1, ABCC1 and ABCG2 was increased in HCT116/5-FU/R cells. (C) HCT116/5-FU/R cells were treated with 4 $\mu$ 8C (20  $\mu$ M) for 24 h, followed by westernblot. (D) HCT116/5-FU/R cells were treated with 5-FU (20  $\mu$ M) in the absence or presence of 4 $\mu$ 8C (5, 10, 20  $\mu$ M). Cell survival was determined at different time intervals by MTT assay. (E) 4 $\mu$ 8C enhanced the efficacy of 5-FU chemotherapy *in vivo*. HCT116/5-FU/R cells were implanted onto nude mice at each flank (3×10<sup>6</sup>). After 16 days, the mice were administrated i.p. with 5-FU (25 mg/kg, every two days), 4 $\mu$ 8C (5  $\mu$ g/g, twice a week) or combination of 5-FU and 4 $\mu$ 8C. (F) Determination of the expression of ABCB1, ABCC1 and ABCG2 in tumors. (G) A proposed working model. \*, P<0.05; \*\*, P<0.01; \*\*\*, P<0.001.























# **Highlights**

- 1. The anticancer drugs activate IRE1α-XBP1 axis and induce ABCB1, ABCC1 and ABCG2
- 2. XBP1 acts as a transcription factor of drug resistance gene ABCB1, ABCC1 and ABCG2
- 3. IRE1 $\alpha$  inhibitor 4 $\mu$ 8C suppresses the expression of ABCB1, ABCC1 and ABCG2
- 4. Targeting of IRE1 $\alpha$  with 4 $\mu$ 8C overcomes drug resistance of colon cancer cells

#### Statement

The authors declare that there is no conflict of interest.

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