Using best performance machine learning algorithm to predict child death before celebrating their fifth birthday

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| 1 | Using best performance machine learning algorithm to predict child death |
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| 2 | before celebrating their fifth birthday |
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23 Abstract

Introduction: Child morbidity and mortality in resource-limited settings is a major public health problem. The previous studies were mainly concerned with determining the prevalence of child deaths and identifying associated factors. Extracting knowledge and discovering insights from hidden patterns in child data through supervised machine learning algorithms is limited. Therefore, this study aimed to predict the under-five death of children using a best performance-supervised machine learning algorithm.

Methods: A total of 1813 samples were used from the 2019 Ethiopian Demographic and Health 30 Survey dataset. 70% and 30% of total instances were used for training the model and measuring 31 32 the performance of each algorithm with 10-fold cross-validation techniques respectively. Five supervised machine learning algorithms were considered for model building and comparison. 33 34 All the included algorithms were evaluated using confusion matrix elements. Information gain value was used to select important attributes to predict child deaths. The If/ then logical 35 36 association was used to generate rules based on relationships among attributes using Weka version 3.8.6 software. 37

Results: J48 is the second-best performance algorithm next to the random forest to predict child death, with 77.8% and 93.9% accuracy, respectively. Late initiation of breastfeeding, mothers with no formal education, short birth intervals, poor wealth status of the mother, and unexposed to media were the top five important attributes to predict child deaths. A total of six associated rules were generated that could determine the magnitude of child deaths. Of these, if children were rural residents, had a short birth interval, and if born as multiples (twins), then the probability of child death was 83.6%.

Conclusions: Five machine learning algorithms were included to predict child deaths and 45 generate rules. Hence, the random forest algorithm was the best algorithm to predict child 46 deaths. However, the study was limited since important attributes were not included in the data 47 source, and irrelevant values were found. So, researchers are encouraged to use machine 48 learning algorithms for future studies including important attributes that could predict child 49 50 death. The current findings would be useful for stakeholders' preparedness, and taking proactive childcare interventions. Encouraging women in education, media access, and 51 economic development programs are essential interventions for child death reduction. 52

53 Keywords: Child death, Prediction, Machine learning

54 Introduction

Under-five mortality is the most important indicator to measure the health status of children, and it is a key marker for the development of countries [1]. The under-five mortality rate is the probability of children dying before their fifth birthday [2]. Globally, nearly 44% of all underfive deaths occurred before their first month of birth [3], and an estimated 4.1 million child deaths occurred in 2017 [4]. According to the Centers for Disease Control and Prevention, child mortality in the United States in 2020 was predicted to be 5.4 deaths per 1,000 live births [5].

The risk of under-five mortality is highest in low-income countries. The under-five mortality 61 rate in low-income countries was predicted to be 69 deaths per 1,000 live births in 2017, which 62 is almost 14 times the rate in high-income countries [6, 7]. In Bangladesh, 522 under-five 63 children died per 1,000 live births [8]. In 2001, under-five mortality in Nepal was projected to 64 65 be 91 deaths per 1,000 live births [9]. Though under-five mortality shows a reduction from 166 to 67 per 1,000 live births over a period of 16 years [10], Ethiopia appears to have the fifth-66 67 highest number of new-born deaths in the world [11]. Under-five mortality is projected to cause 472,000 children to die annually in Ethiopia before their fifth birthday, which places Ethiopia 68 sixth in the world according to the number of under-five deaths [7, 12]. According to WHO 69 2017, more than half of under-five deaths are due to infectious diseases that are easily 70 preventable and treatable through simple and affordable interventions [13]. Under-five 71 mortality is also caused by undernutrition, which further leads to stunting and wasting [14]. 72

According to previous traditional logistic regression analysis, under-five mortality is associated 73 with mothers' educational status and age, wealth status, the children's age (18), child size and 74 birth order, poor sanitation, and unsafe drinking water, the wealth index in the community [15], 75 76 distance to a health facility, and multiple born children [16]. Additionally, giving birth at a health facility, timely initiation of breastfeeding, mothers' preparedness for birth, media 77 78 exposure, and professionals' knowledge [17] are important factors associated with under-five mortality. Even though the traditional logistic regression is suitable to determine the strength 79 80 of the association between independent predictors with outcome variables, the odds ratio and relative risk do not meaningfully describe the individual predictors' ability to classify subjects, 81 82 and it does not discover new insight [18]. Moreover, the complex and voluminous amount of data is less likely to be manageable in a traditional logistic regression model. Accordingly, 83 84 machine learning algorithms have been used more effectively. The traditional logistic regression model is defined based on small input variables and sample sizes that would lead to 85

incorrect relationships and reduce representativeness [19]. Hence, this makes it difficult forpolicymakers and stakeholders to take accurate interventions to solve the problems.

Nowadays, different machine-learning algorithms are used in public health research to predict 88 and classify public health and biomedical data to discover new insights for a better 89 understanding of relationships and patterns in input data [20]. From various machine learning 90 algorithms, supervised machine learning is effective in forecasting disease prevalence, health 91 service utilization, and maternal and child mortalities by labeling training, and testing data sets. 92 Supervised machine learning algorithms are critical for the automated detection and discovery 93 of meaningful patterns in data [21]. Additionally, supervised algorithms are used to find a non-94 95 linear relationship between the outcome variable and independent variables.

Previously, different studies have been done based on supervised machine learning algorithms 96 such as decision trees, random forests, logistic regression, J48, and adaboost algorithms to 97 predict under-five mortality [22]. Machine learning models were used for effective prediction 98 of the undernutrition status of under-five children [23], machine learning techniques were used 99 to predict the risk of neonatal mortality and morbidity [24], random forest and decision tree 100 models were used to predict under-five mortality [20, 25], malnutrition among children and 101 nutritional effects for humans were predicted using machine learning algorithms [25, 26]. This 102 was an excellent step to discover unknown relationships, gain insight, and learn from the work. 103 The previous machine learning-based studies are critical for establishing the baselines of the 104 105 current and future research studies. However, some studies only used fewer machine learning algorithms for comparisons [7]. These would reduce the chance of comparability of the 106 107 algorithms, and the best performance model might not be included.

As evidenced by the literature reviewed, studies about the prediction of under-five mortality based on machine learning modeling techniques are insufficient. Currently, voluminous, heterogeneous patient data are generated, and it is important to analyze and present these health-related data in machine learning algorithms. Policymakers and stakeholders need accurate predictions on various aspects of health parameters for effective actions. Researchers are needed to test and compare various prediction and classification algorithms to provide highly accurate results.

115 Moreover, this study serves as input for health program formulators and practitioners to make 116 correct decisions. Specifically, stakeholders would use the findings of the study for setting

interventions to reduce child deaths in resource-limited settings. The study would also be important for a child's mother to pay attention to the most important predictors of child deaths. This study employed supervised machine learning algorithms to train data and develop a predictive model on under-five child deaths. Hence, the study would have credibility for enhancing public health practices, and help as a framework for future similar research. Therefore, this study aimed to predict child death before celebrating their fifth birthday by using various supervised machine learning algorithms.

124 **Research questions**

- 125 1. Which supervised machine learning algorithm is best to determine child death before
- celebrating their fifth birthday?
- 127 2. Which important variables could predict child deaths before celebrating their fifth birthday?
- 3. Which important variables would jointly determine (generate association rules) childdeaths before celebrating their fifth birthday?

130 Methods and materials

131 Study design and setting

The cross-sectional study design was conducted across the region of Ethiopia. Ethiopia is located in the Horn of Africa and bordered by Eritrea to the north, Djibouti, and Somalia to the east, Sudan and South Sudan to the west, and Kenya to the south. Ethiopia has nine regional states with two administrative cities. These are subdivided into different administrative units (68 zones, 817 woredas, and 16253 kebeles).

137 Data source

For this study, the 2019 Ethiopian Mini Demographic and Health Survey (EMDHS) dataset 138 was used from the Demographic and Health Survey (DHS) website (https://dhsprogram.com). 139 140 The 2019 EMDHS data represents Ethiopia's second DHS. The Ethiopian Federal Ministry of Health requested the Ethiopian Public Health Institute (EPHI) to implement the survey. The 141 142 survey was conducted with the financial and technical support of the World Bank, UNICEF, and the United States Agency for International Development. The survey was conducted by 143 EPHI in collaboration with the Central Statistical Agency from March 21 to June 28, 2019. 144 The 2019 EMDHS generates data for measuring the progress of the health sector goals set 145

under the Growth and Transformation Plan, which is closely aligned with the SustainableDevelopment Goals [27].

148 Sampling procedures and sample size of the study

Two-stage stratified cluster sampling was used. Each region was stratified into urban and rural 149 areas. In the selected enumeration areas, a household listing operation was done, and the results 150 were used as a sampling frame for household selection in the second stage. Finally, a fixed 151 152 number of households per cluster were selected. Samples of enumeration areas were selected independently in each stratum through implicit stratification and equal proportional allocation. 153 Finally, a total of 8885 samples of eligible women were included in the 2019 EMDHS data set. 154 However, the data set did not include important attributes that would predict child deaths, had 155 missing values, and some were recorded as not applicable. After removing missing values and 156 157 irrelevant data, the total sample size of this study was 1813.

158 Study population, inclusion, and exclusion criteria

All eligible women aged 15–49 years old who were either permanent residents of the selected households or visitors who were present in the household the night before the survey were the respondents on behalf of their children. Therefore, all sampled under-five children were the study population[27].

163 Study variables

164 **Dependent variable**

165 The dependent variable of the study was the death of children before celebrating their fifth166 birthday.

167 Independent variables

Socio-demographic characteristics of households, such as wealth status, educational status of mothers, sex of children, preceding birth interval and birth order, age and sex of households' heads, initiation of breastfeeding, mothers' age, media exposure, the place of residency, and region, were extracted as potential attributes to predict child deaths before their fifth birthday.

172 Operationalization and measurement of included variables

- Under-five child mortality: According to WHO, under-five child mortality is the death ofchildren under the age of five (death before celebrating their fifth birthday) per 1,000 live births
- 175 [2]. Hence, children who died before their fifth birthday were labelled as **yes**, else **no**.
- **Birth interval:** The period between two successive live birth is a birth interval. For this study,
- a birth interval of <33 months between two consecutive live births is a **short birth interval**,
- whereas a birth interval of 33 and above is an **optimum birth interval [28, 29]**.
- 179 Early initiation of breastfeeding: Provision of the mother's breast milk to the infants within
- 180 1 hour after birth indicates early breastfeeding (Yes). If the infants are provided mothers'
- 181 breast milk after 1 hour of birth indicate late initiation of breastfeeding (No) [30].
- Media exposure: If the mothers had access to either radio or television or both, then themothers were had media exposure; otherwise unexposed to media [31].
- Family's wealth status: The family's wealth index was generated from the wealth index of the households. In the 2019 EMDHS dataset, the wealth index has five quintiles, such as the lowest quintile (poorest), the second quintile (poorer), the third quintile (middle), the four quintiles (rich), and the fifth quintile (richest). For this study, the first and second wealth index categories as '**poor**', the middle wealth index category was taken as '**middle**', and the fourth and fifth wealth index categories were categorized as '**rich**' [32].

190 Data management and statistical analysis

Data cleaning and labelling were performed using STATA version 15 software to prepare the 191 data for analysis. Variables were recoded to meet the desired classification. Respondents from 192 small regions like Harari contribute a small sample, and respondents from large regions like 193 Amhara and Oromia, contribute much more. In such a case, the sample might not be 194 representative across the country, and so there is a need for mathematical adjustment to make 195 the sample representative. Hence, to ensure the representativeness of the findings at the national 196 level, sampling weights were done before the data analysis [33, 34]. The STATA version 15 197 198 software was used for data management and logistic regression analysis. Weka version 3.8.6 software was used for data pre-processing, important attribute selection that could predict child 199 200 death, and generating rules associated with the death of children before their fifth birthday.

201 Data pre-processing

Data pre-processing is mainly concerned to manage noise, outliers, and inconsistency in the data set. Since the study was based on 2019 EMDHS data, irrelevant data are found in the

records. Therefore, all these unnecessary data values were removed from the data set for this
study. At this stage, all strings and categorical variables were also transformed into nominal
data types. This approach of changing data type is critical to enhancing the accuracy of the
result.

208 Feature selection

In this study, there were two stages of variable selection for model building. In the first stage, 209 a traditional logistic regression analysis was employed for a feature or independent variable 210 selection. A variable with a p-value of less than 0.2 with backward stepwise logistic regression 211 analysis was considered as a candidate for further important attribute selection. A variance 212 inflation factor (VIF=1/ $(1-R_i)^2$, R is the unadjusted coefficient of regressing in 213 the ith independent variable)) was used to test the possible existence of a correlation between 214 215 independent variables. If the VIF value is <1, between 1 and 5, and >5, indicates that there is no correlation, moderate correlation, and high correlation between independent variables, 216 respectively [35]. Hence, the value of the VIF for all independent predictors was 2.75. This 217 revealed that there was no significant correlation between variables. 218

In the second stage, a best-performance algorithm model with information gain values was used to find important features or attributes that have a major contribution to predicting child death before celebrating their fifth birthday. The highest information gain value of an independent predictor indicates the most important attribute it is to predict the target variable and that it is highly correlated with the target variable (death of children before celebrating their fifth birthday). Then the next important features/predictors were selected based on their order of highest information gain value for model building.

226 Model building

227 Data split and model selection

In this step, 7:3 rule was considered for training and testing the model. From a total of 1813 observations, 70% and 30% were assumed for training and measuring the performance of the model, respectively. To ensure the accurate and equal classification of the available data as training and testing dataset, K-fold cross-validation techniques were used, and it is important when there is a small samples [36]. The K-fold cross-validation technique divides the available records into equal samples, and K-1 folds are used for training the predictive model, and the

remaining folds are used for testing K-times repeatedly. The average number of K-times cross-validation was used as a performance measure.

Previous similar studies have used different supervised machine-learning algorithms to predict
under-five child mortality [20, 25, 26]. Then various appropriate supervised machine learning
algorithms such as Naïve Bayes, logistic regression, J48, random forest, and adaboost
algorithms were used for predicting under-five child mortality.

Naïve Bayes: Naïve Bayes algorithm is a supervised machine learning algorithm, which is based on the Bayes theorem and used for the classification and prediction of problems. In the Naïve Bayes algorithm, attributes are conditionally independent for the target class [20]. Naïve Bayes has a computational efficiency in that number of attributes and classification time is linear with several attributes, and not affected by training time. Naive Bayes algorithms had an incremental learning behaviour, could directly predict patterns with low variance, and their performance is measured by confusion matrix elements [37].

Logistic regression: Logistic regression is a type of regression model that is important to model the categorical dichotomous outcome variable or feature. Logistic regression is a statistical model used to classify and predict different parameters in health [38]. It might be a binary (Binary logistic) and (multiple) model used to predict binary (multiple) outcome variables. Logistic regression has different assumptions, of which the target variable is dichotomous, and independent variables that affect the target variable are independent of each other [39].

J48 classifier algorithm: A J48 classifier algorithm is one of the best machine learning 254 algorithms that examine categorical data based on a top-down recursive divide and conquer 255 strategy [40]. J48 classifier is a simple C4.5 decision tree for classification to create a binary 256 tree. The algorithm is crucial for classifying the problems, and the J48 algorithm is important 257 to ignore the missing values and be able to predict the item of missing value based on what is 258 known about the records of another attribute. The process is to divide the available data into 259 260 ranges based on the attribute values for that item that are found in the training data, and then 261 classification is done, and rules are generated from the attributes [41].

Random forest: A random forest is a supervised machine learning algorithm used to classify and predict health problems and health service utilization [42]. Random forest is the fastest to train and work with subsets of features. Random forest is important to detect complex relationships, including nonlinear and high-order interactions, and yields the smallest

prediction errors [43]. Adaboost: Adaboost is an ensemble meta-learning method that enhances the efficiency of the binary classification tree. Adaboost uses an iterative approach to learn from the mistakes of weak classifiers and turn them into strong ones [44, 45]. Ada Boosting is crucial to boost the performance of decision trees based on binary classification problems [46]. The overall knowledge flow of model building for data processing, analysing, and visualizing are presented in **Figure 1**.





274 Imbalance data handling

Data imbalance mainly occurs in real-world applications such as medical diagnosis, pattern 275 recognition, speech, and fraud detection. The number of observations in the classification 276 dataset might have majority and minority classes [47]. For instance, the target variable of this 277 study is a binary outcome: Yes (child died before their fifth birthday), No (child did not die 278 before their fifth birthday). Hence, the classification might provide a high value for (the 279 majority) for the Yes class, and a low value (the minority) for the No class. In such a case, the 280 classification might give inaccurate and biased predictive results in machine learning. 281 Therefore, handling imbalanced data is critical for accurate results. There is various technique 282 283 to handle imbalanced data such as over-sampling, synthetic minority over-sampling technique (SMOTE), and under-sampling [48]. Under-sampling is about the random reduction of the 284 majority (abundant class) to balance the data set. For this study, under-sampling was not 285 considered to handle imbalanced data since it might lose important attributes and records in the 286

dataset. Oversampling techniques are important to keep attributes and are used to fill in missing 287 values. Whereas, SMOTE is an effective oversampling approach to handle imbalanced 288 datasets. It creates new synthetic samples for the minority class by interpolating linearity 289 between the minority class [48, 49]. SMOTE is a critical method to overcome overfitting in 290 machine learning algorithms[50]. Hence, over-sampling and SMOTE were considered to 291 ensure that the majority and minority classes have balanced data. This is very critical to reduce 292 prediction errors, increase the use of data for both training and validation, and eliminate data 293 overfitting. So, the overall model performance increased, and accurate results were generated. 294 295 Consequently, 8.7% of additional synthetic records were generated to balance the minority 296 class. Overall, the imbalanced data and balanced data were depicted in Figure 2.



297

Figure 2: Distribution of death of children before celebrating their fifth birthday before andafter data balancing, using the 2019 EDHS dataset.

300 Model evaluation

The performance of all the included algorithms has been evaluated using the confusion matrix.
The accuracy of actual and predicted classes has been visualized by the confusion matrix model
[51]. The predicted and actual classifications of under-five child mortality were compared

using confusion matrix elements, such as true positive, false positive, true negative, and false-304 negative. The receiver operators' curve (ROC) was also used for model evaluation based on 305 sensitivity, and specificity relationships. Since ROC is based on probability, the area under the 306 ROC curve (AUC) is crucial to representing the degree or measure of separability. It tells how 307 much the model is capable of distinguishing between classes. Hence, the higher the AUC, the 308 better the model is at predicting true classes as true and false classes as false. Usually, the AUC 309 value is good if it is greater than 80%, fair if it is between 70% and 80%, poor if it is between 310 60% and 70%, and failed if it is less than 60% [52]. The formula for the confusion matrix's 311 312 element is presented in **box 1**.

Box 1: Formula for the element of the confusion matrix

Accuracy = (True positive + True negative)/(True Ppositive + True negative + False positive + False negative) Sensitivity = True positive/(True positive + False negative) Not that Sensitivity=Recall=True Positive Rate Specificity = True negative/(True negative + False positive)

False positive rate = False positive/(False positive + True negative)

 $\mathbf{F}_{\text{measure}} = 2 * \text{True positive} / (2 * \text{True positive} + \text{False positive} + \text{False negative})$

Precision = **Postive predictive value** = True positive/(2(True positive)) + False positive))

314

True positive: The model correctly predicts a positive class of response outcome.

False positive: The model incorrectly predicts a positive class in the response outcome.

True negative: The model correctly predicts a negative class in the response outcome.

False-negative: The model incorrectly predicts a negative class in the response outcome.

Sensitivity: Sensitivity is the test to measure correctly positive predicted events out of a total

number of positive events, and it shows the value of how many positives are predicted out oftotal positive classes.

Specificity: Specificity is the proportion of real negative cases that got predicted as negative.

323 This indicates that there will be another proportion of real negative cases, which would get

324 predicted as positive and could be termed as false positives.

- 325 Precision: Precision is a positive predictive value, and it is the correct events divided by the 326 total number of positive events that the classifier predicts.
- F_measure: F measure is the inverse relationship between accuracy and recall. The higher
 value of the F-measure score predicts a better model.

329 **Prediction and association rule mining**

Once the model is built and its performance assessed, the death of children before their fifth birthday is predicted based on the independent predictors. Important variables selected based on a best-performance model were used to predict child mortality before their fifth birthday. Although important variables are used to predict child deaths before their fifth birthday, the predictive model does not show which nominal variables are jointly associated with child deaths before their fifth birthday.

Therefore, association rule mining analysis (the If (antecedent)/ then (consequent) statements) 336 is used to discover relationships between seemingly independent relational attributes. 337 Association rule mining analysis is important for non-numerical and categorical types of data 338 339 attributes. It is important to observe frequently occurring patterns and identify the dependencies between attributes by supporting how frequently the if/then relationship appears in the 340 341 observations and confidence in the number of times the relationships are true. The if/ then association rule mining analysis is critically important to select important features that jointly 342 343 determine under-five child mortality and is the easiest way to interpret [53].

The **If then** association rule is the pair of X and Y (X, Y) attributes expressed as X->Y, where X is an antecedent and Y a consequent that is as X happens Y would also happen [54]. These rules are critically important for the prevention and control of health problems and crucial for health policymakers' proactive decision-making purposes. For the association rule mining analysis, the apriori algorithm method was used to identify strong and frequently related attributes.

Various studies had widely used **if/then** rules in healthcare research, such as predicting childhood care and child mortality [55], predicting parasite infection [56], the pattern of new cases and stroke [57, 58], and maternal healthcare service utilization and service discontinuation [59] to identify important features. The relationship between X and Y attributes is expressed in the following way [58]. 355 If the left attribute >1 | X and Y are positively associated to determine under-five child 356 mortality. if the left attribute <1 | X and Y negatively associated to determine under-five child 357 mortality.

If the left attribute=1 | No relation between X and Y to determine under-five child mortality.

359 The detail of data preparation, model building, important variable selection, and analysis

360 workflow is presented in **Figure 3**.



361



363 **Results**

364 Sociodemographic characteristics of the study participants

Following data pre-processing, a total of 1813 samples of children were included for analysis. From a total sample, almost three-fourths (74.02%) of children's mothers had no formal education. The average age of mothers was 16.67. Four out of ten (39.66%) and one-fourth (26.58%) of the children's mothers were from Oromia, and South Nation Nationality and People's Region (SNNPR), respectively. The majority (76.3%) and seven hundred sixty

- 370 (41.92%) of children were rural residents and from poor mothers, respectively. Six hundred
- forty-seven (35.68%) were orthodox religious flowers. Seven out of ten (69.1%) respondents
- had no media exposure, and 56% of children were male.
- **Table 1:** Socio-demographic characteristics of children and respective respondents using the
- **374** 2019 EDHS dataset.

| Variable | Category | Frequency (n) | Percent (%) |
|-----------------------------|---------------------|---------------|-------------|
| Mothers' educational status | No formal education | 1342 | 74.02 |
| | Primary | 445 | 24.44 |
| | Secondary | 25 | 1.37 |
| | Higher | 3 | 0.17 |
| Region | Tigray | 63 | 3.47 |
| | Afar | 18 | 0.97 |
| | Amhara | 352 | 19.42 |
| | Oromia | 719 | 39.66 |
| | Somali | 123 | 6.77 |
| | Benishangul | 29 | 1.60 |
| | SNNPR 482 | | 26.58 |
| | Gambela | 9 | .50 |
| | Harari | 4 | .27 |
| | Addis Ababa | 5 | .26 |
| 5 | Dire Dawa | 9 | .50 |
| Mothers' age (year) | 15-19 | 24 | 1.32 |
| | 20-24 | 125 | 6.89 |
| | 25-29 | 361 | 19.92 |
| | 30-34 | 414 | 22.84 |
| | 35-39 | 476 | 26.25 |
| | 40-44 | 413 | 22.78 |
| Family's wealth index | Poor | 760 | 41.92 |
| | Middle | 456 | 25.15 |
| | Rich | 597 | 32.93 |
| Mother/caregiver religion | Orthodox | 647 | 35.68 |
| | Catholic | 13 | .72 |

| | Protestant | 628 | 34.64 |
|--------------------|------------------------|------|-------|
| | Muslim | 504 | 27.80 |
| | Traditional, and other | 21 | 1.16 |
| Place of residency | Urban | 429 | 23.7 |
| | Rural | 1384 | 76.3 |
| Sex of children | Male | 1015 | 56.0 |
| | Female | 798 | 44.0 |
| Media exposure | No | 1253 | 69.1 |
| | Yes | 560 | 30.9 |

375

Performance of the included models in predicting child deaths before their fifth birthday

Five machine learning algorithms were included to predict under-five mortality in Ethiopia. 377 The Naïve Bayes, J48, random forest, adaboost, and logistic regression classification 378 algorithms were involved in predicting child mortality. All models built based on the included 379 five machine learning algorithms were evaluated using performance measures from the 380 confusion matrix. The accuracy, AUC, F-measure, and precision were used to evaluate the 381 models' performances. If the AUC value is close to the left-top corner, show the best 382 performance model. Accordingly, the random forest is the best performance model to predict 383 384 child die before their fifth birthday, with an AUC value of 93.9%, and its sensitivity, specificity, 385 precision, and f-measure indicate 90.7%, 84.4%, 84%, and 87.2%, respectively. From a total of 1813 instances, 1702 instances (93.9% accuracy) were correctly classified, and the 386 remaining 111 instances (6.1%) were incorrectly classified. J48 was the second-best 387 performance model for the prediction of children's death before their fifth birthday, with an 388 AUC value of 77.8%. The sensitivity, specificity, precision, and f-measure value for the j48 389 algorithms was 89.0%, 78.7%, 75.5%, and 76.2%, respectively. The overall details of the 390 algorithms' performance measures for under-five child mortality were presented in Table 2 391 and Figure 4. 392

Table 2: Model performance of all the include supervised machine learning algorithms.

| Parameters (%) | Classification algorithms | | | | | |
|----------------|---------------------------|-------------|---------------|----------|---------------------|--|
| | J48 | Naïve Bayes | Random forest | Adaboost | Logistic regression | |
| Sensitivity | 89.0 | 83.5 | 90.7 | 82.4 | 84.9 | |
| Specificity | 78.7 | 75.3 | 84.4 | 74.3 | 76.6 | |



394

Figure 4: ROC Curve for AUC of each algorithm model

396 Importance attributes to predict the death of children before their fifth birthday

Important predictors of under-five child mortality were measured based on gain information coefficients with a 10-fold cross-validation process. For important predictor selection, the best performance model (random forest) was considered. Accordingly, late initiation of breastfeeding, no formal education of mothers, short birth intervals, poor wealth status, and unexposed to media were the top five important attributes of the death of children before their fifth birthday. Other important attributes of child death before celebrating their fifth birthday were presented in **Table 3** and **Figure 5**.

Table 3: Information gain value for each important predictor variable.

| Predictor variables | Туре | Measurement | Information gain value |
|---------------------------|---------|-------------|------------------------|
| Early breastfeeding, (No) | Nominal | Scale | 0.083 |
| Educational status, (No) | Nominal | Scale | 0.069 |
| Birth interval, (Short) | Nominal | Scale | 0.067 |
| Wealth status, (Poor) | Nominal | Scale | 0067 |



405 Figure 5: Important variable selection using information gain value

406 Association rule building

Rule 1: If residency=0 (Rural), birth interval =1 (short), and child twin=2 (Multiple), then the
probability of child death before celebrating their fifth birthday would be 83.6% (left=1.67).

Rule 2: If birth interval =1 (Short), early breastfeeding=0 (No), and mothers' educational
status=1 (No education), then the probability of child death before celebrating their fifth day
birthday would be 80.3% (left=1.59).

- **Rule 3: If the** child twin=1 (Single), early breastfeeding =0 (No), and the mothers' wealth
 status=0 (Poor), then the probability of child death before celebrating their fifth birthday would
 be 77.8% (left=1.51).
- **Rule 4: If** wealth status=2 (Middle), media exposure =0 (No), residency= 0 (Rural), and mothers' age=1 (15-19 years of age), **then** the probability of child death before celebrating their fifth birthday would be 74.1% (left=1.43).

Rule 5: If early breast feeding=1 (Yes), wealth status=0 (Poor), and birth interval=1 (Short),
then the probability of child death before celebrating their fifth birthday would be 72.5%
(left=1.38).

Rule 6: If residency=1 (Urban), media exposure =0 (No), and wealth status=0 (Poor), then the
probability of child death before celebrating their fifth birthday would be 59.7% (left=1.23).

423 **Discussion**

For this study, the 2019 EDHS dataset was used, with a total of 1813 instances. 70% of the 424 total instances were randomly sampled and trained in each algorithm, and 30% of total 425 instances were also randomly sampled and used to test the algorithms' performance. The aim 426 was to compare different supervised machine learning algorithms using the confusion matrix 427 element and determine important attributes that are relatively accurate in predicting the death 428 of children before celebrating their fifth birthday. Accordingly, five supervised machine 429 learning algorithms, such as Naïve Bayes, logistic regression, J48, random forest, and adaboost 430 431 algorithms, were included to achieve the objectives of the study.

432 The model performance of each of the five supervised machine learning algorithms was compared by their classification accuracy and AUC score values. According to the analysis 433 report, the sensitivity and AUC value of the random forest model was 90.7% and 93.9% with 434 10-fold cross-validation, respectively. Hence, the random forest algorithm model was the most 435 436 accurate model to predict child death before celebrating their fifth birthday other remaining algorithms. This finding was supported by various similar studies that report random forest is 437 the best model to predict under-five child mortality [7, 23], contraceptive discontinuation [59], 438 and important features for stunting and malnutrition among under-five children [60-62]. The 439 440 J48 algorithm was the second-best model for predicting the death of children before celebrating their fifth birthday, with 89.0% and 77.8% of sensitivity and AUC, respectively. This finding 441 was supported by a report that states the J48 algorithm is the best model for predicting under-442 five child mortality [22]. 443

In this study, the second objective was to select important attributes that could predict the death of children before celebrating their fifth birthday. From the attributes selected to predict child death before celebrating their fifth birthday, late initiation of breastfeeding, mothers having no formal education, short birth intervals for children, poor wealth status of the mother, and being unexposed to media were the top five important attributes to predict child death before

celebrating their fifth birthday. Other attributes, such as being a rural resident, being female,
having a mother's age between 15-19 years old, and having a birth order of less than five were
also important attributes for a child's death before celebrating their fifth birthday.

Late initiation of breastfeeding was the top-ranked attribute for predicting the death of children 452 before celebrating their fifth birthday. This finding was supported by similar studies that found 453 that children who were not breastfed were more likely to die before their fifth birthday [7, 22, 454 63]. This might be because children might not get important nutrients and complementary 455 foods from breast milk promptly [64, 65]. Moreover, late initiation of breastfeeding might 456 contribute to child stunting and infection-related neonatal mortality among all live births [66, 457 67], and children might get nutrients from breast milk that have a significant benefit for 458 ensuring child health and survival. Mothers' lack of formal education was the second important 459 460 attribute to predict child death before celebrating the fifth birthday. This finding is supported by similar studies done in Ethiopia [22], and Nigeria [68]. This might be because uneducated 461 462 women might not have awareness and knowledge about childcare and feeding, and loweducated mothers might not have the skills to access health information or not purposefully 463 seek it [69]. Consequently, children's mothers might delay accessing appropriate health 464 services at the right time [70], and so children might be more likely to die before their fifth 465 birthday. 466

A short birth interval was the third most important attribute to predict child death before 467 celebrating their fifth birthday. This finding was in line with studies done in Ethiopia [22], and 468 similar resource-limited settings [71, 72]. This might be because short birth intervals might 469 lead to high fertility and population growth, which undermine child development stages, and 470 471 so children are at high risk for dying before their fifth birthday [73]. Being poor, and media unexposed were another fourth and fifth important attributes to predict child deaths before 472 473 celebrating their fifth birthday. This might be because poor women cannot afford to feed and care for their children, and women who have not had media exposure might not access 474 information that could be critical for child care and survival. 475

Generating rules for child mortality was another objective of this study. Consequently, six rules associated with child mortality were generated. According to Rule 1, the probability of a child dying before reaching the age of five is 83.6% if the child is a rural resident, has a short birth interval, and is born as a multiple (twin). This might be because a rural area health facility might not be available, short birth intervals might cause many children to be present and unable

to get the appropriate and recommended nutrients, and mothers might not properly manage and 481 care for multiple children born at the time. So, a combination of these factors might be the 482 cause of a child's death before celebrating their fifth birthday. The probability of child death 483 was 80.3% if there was a short birth interval among children, children did not initiate 484 breastfeeding early, and mothers were not formally educated. The if/ then rules are critical to 485 discovering the hidden relationship between attributes, extracting knowledge from a set of data, 486 and being accurate in representing knowledge and information about child mortality. This is 487 vital to support public health proactive action, decision-making purposes, and the storage of 488 489 knowledge.

490 Strengths and limitations of the study

In this study, five supervised machine learning algorithms were used to classify and predict child deaths before their fifth birthday. Association rules were also generated that more than one variable would predict a child's death jointly. This study used nationally representative data, and the findings might have a representative nature.

However, the supervised machine learning algorithms do not have coefficients like odds and 495 incident rate ratios. Therefore, the strength and direction of associations are unknown. 496 Moreover, since the data source was the 2019 EMDHS data set, important attributes such as 497 birth weight and birth size of children were not included. Plus, the current study was more 498 emphasized mothers and child related attributes, that fathers' related attributes such as fathers' 499 education, income level were missed, and though the author tries to address issues of 500 endogeneity, we readers should kindly be informed that the issues of endogeneity may alter the 501 interpretation of the result. Hence, the author recommends future researchers conduct similar 502 503 studies by addressing the limitation mentioned in this study.

504 **Conclusions and recommendations**

This study aimed to identify the best-supervised machine learning algorithms to classify and select important attributes to predict the death of children before their fifth birthday. In line with the objectives, six supervised machine learning algorithms were considered that accurately predict the death of children before celebrating their fifth birthday. Different confusion matrix element was used to compare the candidate-supervised machine learning algorithms. Based on the result, the random forest algorithm was the best performance model to predict the death of children before celebrating their fifth birthday. Attributes such as late

initiation of breastfeeding, mothers with no formal education, the short birth interval, poor
wealth status of the mother, and being unexposed to media were the top important attributes to
predict child deaths.

Generating associated rules for child death was another objective of the study. Accordingly, 515 six rules were generated that were associated with the deaths of children before celebrating 516 their fifth birthday. The findings of this study would have practical implications by supporting 517 policymakers and stakeholders in developing childcare intervention mechanisms and preparing 518 themselves to care for children as early as possible. Stakeholders are recommended to 519 encourage mothers to initiate breastfeeding at the appropriate time. Improving mothers' wealth 520 521 status, closing the gap in media access, and creating awareness among mothers would be critical interventions to enhance the survival of children in Ethiopia. The generated rules would 522 523 also have theoretical implications by extracting and representing knowledge. Moreover, researchers would use this study as a baseline and framework for further research studies, 524 525 including important attributes that would predict child mortality in low-income countries.

526 Abbreviations

AUC: Area Under ROC curve, DHS: Demographic and Health Survey, EDHS: Ethiopian
Demographic and Health Survey, EPHI: Ethiopian Public Health Institute, ROC: Recursive
Operator Characteristics, SMOTE: synthetic minority over-sampling technique, SNNPR:
South Nation Nationality and People's region.

531 **Declarations**

532 Ethical approval and consent to participate

Ethical clearance was not necessary for this study since it was based on publicly available datasources. Informed consent from the study participants was also not applicable to this study.

535 **Consent for publication**

536 Not applicable.

537 Availability of data and materials

538 The dataset used for analysis is available on the DHS program website. All the data generated

and analyze are included in the study.

540 Patient and public participation

- 541 Not applicable.
- 542 Funding
- 543 No funding was received.

544 Author's contributions

- 545 AWD had substantial contributions to the study design, conception, data management, and
- 546 analysis, result in the interpretation, and discussion of the findings. The author read and
- 547 approved the final manuscript for submission.

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Competing interests

The author declared that there is not any competing interests.

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